

# 1 Measurement of the transverse single spin asymmetry 2 for forward neutron production in a wide transverse 3 momentum range

---

4 **Minho Kim<sup>a,\*</sup> for the RHICf collaboration**

5 <sup>a</sup>*RIKEN Nishina Center,*  
6 *Wako, Saitama 351-0198, Japan*  
7 *E-mail: [minho.kim@riken.jp](mailto:minho.kim@riken.jp)*

In the high-energy polarized  $p + p$  collisions, a spin-involved diffractive particle production mechanism can be understood by measuring transverse single-spin asymmetries  $A_N$  of forward particles that are produced at pseudorapidity larger than 6. Since  $A_N$  of forward neutron had only been studied in a narrow transverse momentum range of  $p_T < 0.4 \text{ GeV}/c$ , the RHICf Collaboration has extended the previous measurements up to  $1.0 \text{ GeV}/c$  at  $\sqrt{s} = 510 \text{ GeV}$  to study the kinematic dependence of the neutron  $A_N$  in more detail. The resulting  $A_{NS}$  reach a plateau in the low longitudinal momentum fraction  $x_F$  range, but explicitly increase in magnitude with  $p_T$  in the high  $x_F$  range. The  $A_{NS}$  show little  $x_F$  dependence in the low  $p_T$  range. A clear  $x_F$  dependence is observed for higher  $p_T$  range in the intermediate  $x_F$  region. The results are consistent with the previous measurements at  $\sqrt{s} = 200 \text{ GeV}$ , which suggests no  $\sqrt{s}$  dependence of the neutron  $A_N$ . A theoretical model based on  $\pi$  and  $a_1$  exchange between two protons could reproduce the current results only in a limited kinematic region. An additional mechanism is necessary to understand the measured neutron  $A_{NS}$  over the whole kinematic region.

*25th International Symposium on Spin Physics*  
*24-29 September 2023*  
*Durham, NC, USA*

---

\*Speaker

## 9 1. Introduction

10 In the high-energy polarized  $p + p$  collisions, transverse single-spin asymmetry ( $A_N$ ) of the  
 11 forward particle produced at pseudorapidity larger than 6 plays an important role to study the spin-  
 12 dependent diffractive particle production mechanism. The  $A_N$  value is defined by a left-right cross  
 13 section asymmetry as

$$A_N = \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}, \quad (1)$$

14 where  $\sigma_{L(R)}$  is the cross section of a specific particle or event in the left (right) side of the beam  
 15 polarization. The diffractive process describes the collision process in the mesonic degree of  
 16 freedom.

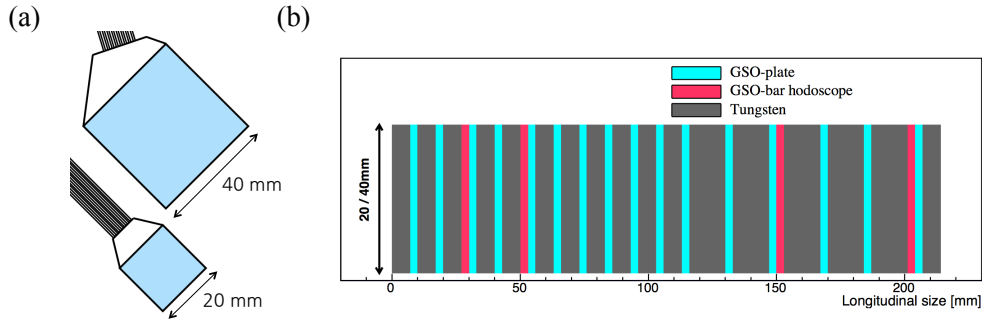
17 After a large  $A_N$  for forward neutron production was discovered by a polarimeter development  
 18 experiment [1] at a center-of-mass energy ( $\sqrt{s}$ ) of 200 GeV, it had been measured by the PHENIX  
 19 experiment at  $\sqrt{s} = 62$  GeV, 200 GeV, and 500 GeV [2]. The one-pion exchange model [3–5]  
 20 reproduced the PHENIX results reasonably well [6] by introducing an interference between spin  
 21 flip  $\pi$  and spin nonflip  $a_1$  exchange. This theoretical framework predicted that the neutron  $A_N$   
 22 increased in magnitude with transverse momentum ( $p_T$ ) with little  $\sqrt{s}$  dependence. Recently, one  
 23 of the PHENIX results at  $\sqrt{s} = 200$  GeV was extracted as a function of longitudinal momentum  
 24 fraction ( $x_F$ ) and  $p_T$  more precisely by unfolding the kinematic spectra [7] and the results were also  
 25 consistent with the theoretical calculation.

26 Since the forward neutron  $A_N$  had only been studied in a narrow  $p_T$  range  $< 0.4$  GeV/ $c$ ,  
 27 the RHICf Collaboration has extended the previous measurements up to 1.0 GeV/ $c$  to study the  
 28 kinematic dependence of the neutron  $A_N$  in more detail. Since  $\sqrt{s}$  of the previous measurements  
 29 and the RHICf experiment are different,  $\sqrt{s}$  dependence of the neutron  $A_N$  could also be studied by  
 30 comparing the RHICf results with those of PHENIX.

## 31 2. RHICf experiment

32 In June 2017, we have measured the  $A_N$  for forward neutron production in polarized  $p + p$   
 33 collisions at  $\sqrt{s} = 510$  GeV by installing an electromagnetic calorimeter (RHICf detector) [8] in the  
 34 zero-degree area of the STAR detector at the Relativistic Heavy Ion Collider (RHIC). A charged veto  
 35 counter was also installed in front of the RHICf detector to suppress the charged hadron background.  
 36 We requested large  $\beta^*$  value of 8 m to make the angular beam divergence small. Corresponding  
 37 luminosity at  $\sqrt{s} = 510$  GeV was at a level of about  $10^{31}$  cm<sup>-2</sup>s<sup>-1</sup>. We also requested 90°-rotated  
 38 transversely polarized beams instead of the usual vertically polarized beams. We could measure  
 39 the neutrons in a wide  $p_T$  range of  $0.0 < p_T < 1.0$  GeV/ $c$  by moving the detector vertically. See  
 40 Ref. [9] for more details on the experimental conditions.

41 The RHICf detector consists of small and large sampling towers as shown in Fig. 1(a). A  
 42 schematic drawing of the longitudinal structure of the RHICf detector is described in Fig. 1(b).  
 43 Each tower is composed of 17 layers of tungsten absorbers with 1.6 interaction length in total, 16  
 44 layers of GSO scintillator plates for energy measurement, and 4 layers of 1-mm-wide GSO bar  
 45 hodoscope for position measurement. The RHICf detector has 1 order of better position and  $p_T$   
 46 resolutions than the one used in the previous measurements, thereby we could measure the  $A_N$  more

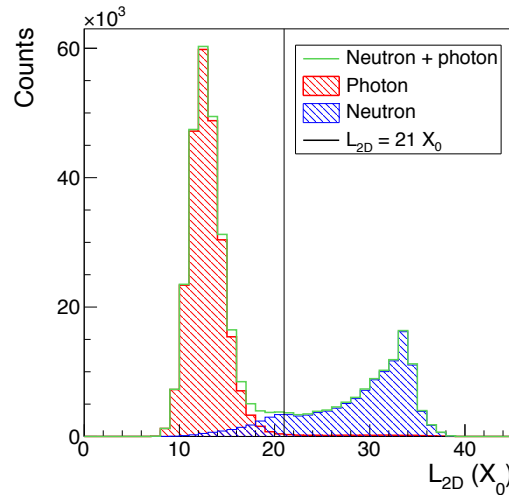


**Figure 1:** (a) Front view of the RHICf detector. It consists of small and large towers with 20 mm and 40 mm dimensions, respectively. (b) Longitudinal structure of the RHICf detector. Both tower have the same structure.

47 precisely. The neutrons were measured by a shower trigger that was operated when the energy  
48 deposits of any three consecutive GSO plates are larger than 45 MeV.

### 49 3. Data analysis

50 Since The shower trigger is sensitive not only to the neutron events but also to the photon  
51 events, neutron candidates were selected by using a variable called  $L_{2D}$  that described how early  
52 a particle shower was generated in the detector. Fig. 2 shows the  $L_{2D}$  distributions of neutron  
53 and photon events in the QGSJET II-04 [10] sample. Since the electromagnetic shower stops the  
54 development in the middle of the RHICf detector, the two  $L_{2D}$  distributions are clearly separated.  
55 After selecting the neutron candidates, finite photon and charged hadron backgrounds in the neutron  
56 candidates were removed. The photon contamination was estimated by fitting the  $L_{2D}$  distribution



**Figure 2:**  $L_{2D}$  distributions of neutron and photon events in the QGSJET II-04 sample. The black line corresponds to a threshold to select the neutron candidates.

57 of data using those of neutron and photon events in the QGSJET II-04 sample. Each event sample  
 58 was scaled so that they reproduced the data reasonably well with the minimum  $\chi^2$  value. The  
 59 same method was also used to estimate and subtract the charged hadron background, but ADC  
 60 distribution of the charged veto counter was fitted using neutron and charged hadron event samples.  
 61 The detailed fitting results can be found in Ref. [11].

62 In order to precisely calculate the neutron  $A_N$ , kinematic values of neutrons,  $x_F$ ,  $p_T$ , and  
 63 azimuthal angle with respect to the beam axis, were unfolded using Bayesian unfolding method [12]  
 64 after the background subtraction. For the prior, neutrons from 0 GeV to 255 GeV were uniformly  
 65 generated on the detector. Iteration of the Bayesian unfolding was repeated until the  $\chi^2$  change  
 66 between two outputs of consecutive iterations became smaller than 1. The unfolding was applied  
 67 for up and down spin patterns respectively to obtain the numbers of neutrons of each spin pattern  
 68 for  $A_N$  calculation.

69 Neutron  $A_{NS}$  of a tower that did not cover the beam center were calculated by

$$A_N = \frac{1}{PD_\phi} \left( \frac{N^\uparrow - RN^\downarrow}{N^\uparrow + RN^\downarrow} \right), \quad (2)$$

70 where  $P$  is the beam polarization and  $N^{\uparrow(\downarrow)}$  is the number of neutrons detected when the beam  
 71 polarization is up (down).  $D_\phi$  is a dilution factor estimated by

$$D_\phi = \frac{1}{N} \sum_i \sin \phi_i, \quad (3)$$

72 where  $\phi_i$  is the azimuthal angle of a neutron with respect to the beam polarization in the  $i$ th event  
 73 and  $N$  is the number of total detected neutrons. To calculate the neutron  $A_{NS}$  of a tower that covered  
 74 the beam center, azimuthal modulation of the  $A_N$  was calculated by

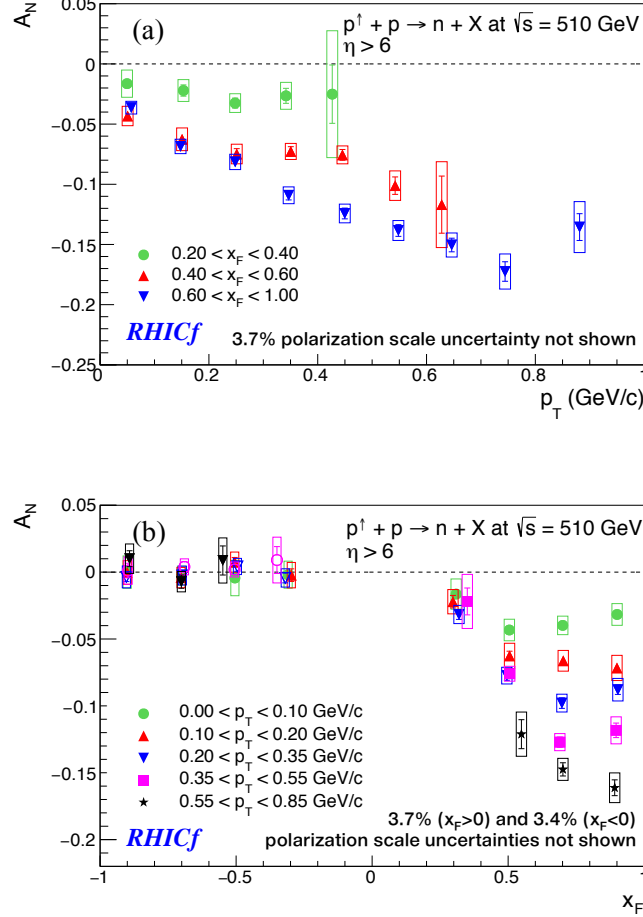
$$A_N = \frac{1}{P} \left( \frac{\sqrt{N_\phi^\uparrow N_{\phi+\pi}^\downarrow} - \sqrt{N_{\phi+\pi}^\uparrow N_\phi^\downarrow}}{\sqrt{N_\phi^\uparrow N_{\phi+\pi}^\downarrow} + \sqrt{N_{\phi+\pi}^\uparrow N_\phi^\downarrow}} \right), \quad (4)$$

75 where  $N_{\phi(\phi+\pi)}^{\uparrow(\downarrow)}$  is the number of neutrons detected in azimuthal angular bin  $\phi(\phi + \pi)$  when the  
 76 beam polarization is up (down). The  $A_N$  was calculated by fitting the azimuthal modulation with a  
 77 sine function where magnitude and phase were left as free parameters.

## 78 4. Results

79 Figure 3 shows the resulting  $A_{NS}$  as a function of  $p_T$  and  $x_F$ . The error bars indicated by lines  
 80 and boxes correspond to the statistical and systematic uncertainties, respectively. The systematic  
 81 uncertainties came from the unfolding and beam center calculation processes. Figure 3(a) shows  
 82 the neutron  $A_N$  as a function of  $p_T$  in three different  $x_F$  ranges. In the low- $x_F$  range, the  $A_N$  reaches  
 83 a plateau at low  $p_T$ . In the high- $x_F$  range, the  $A_N$  does not seem to reach the plateau, but increases  
 84 with increasing  $p_T$  as the  $\pi$  and  $a_1$  exchange model predicted. Figure 3(b) shows the neutron  $A_N$   
 85 as a function of  $x_F$  in six different  $p_T$  ranges. The negative  $x_F$  value means that the proton beam  
 86 that headed to the opposite side of the RHICf detector was polarized. In this case, the  $A_{NS}$  are all

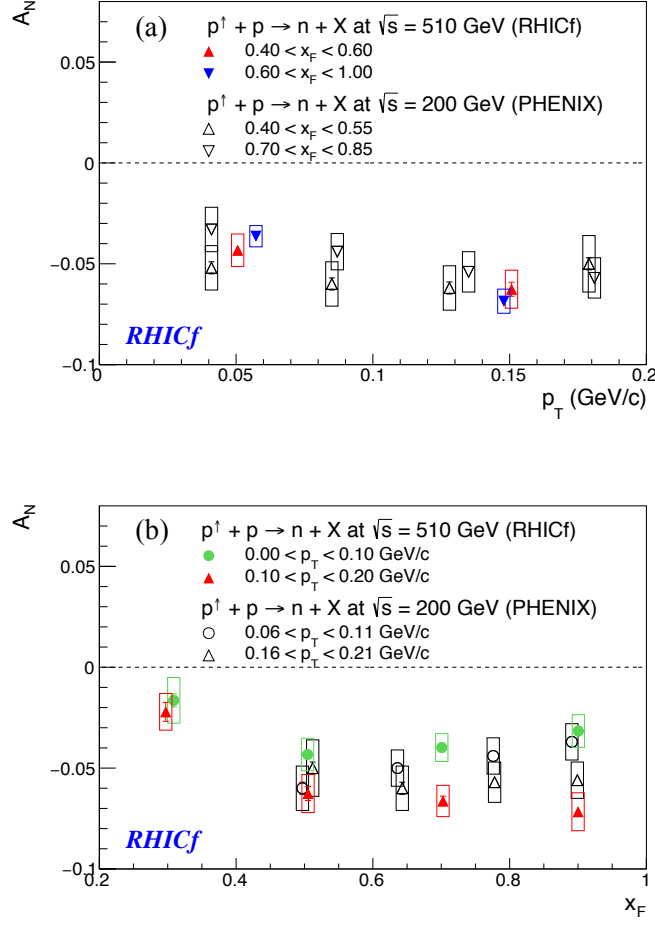
87 consistent with zero. The positive  $x_F$  means that the proton beam that headed to the RHICf detector  
 88 was polarized. In the low- $p_T$  range  $< 0.2$  GeV/c, the  $A_N$  reaches a plateau at low  $x_F$ , showing little  
 89  $x_F$  dependence. In the high- $p_T$  range  $> 0.2$  GeV/c, the  $A_N$  starts to be leveling off at higher  $x_F$ ,  
 90 showing a clear  $x_F$  dependence.



**Figure 3:**  $A_N$  for forward neutron production as function of (a)  $p_T$  and (b)  $x_F$ .

91 The RHICf results were compared with those of PHENIX in Fig. 4. Only the data points with  
 92 the overlapping kinematic ranges were depicted. In the overlapping kinematic region, the two data  
 93 measured by RHICf at  $\sqrt{s} = 510$  GeV and PHENIX at  $\sqrt{s} = 200$  GeV are consistent with each  
 94 other. The consistency suggests no  $\sqrt{s}$  dependence of the neutron  $A_N$ .

95 The RHICf results were also compared with the theoretical calculations based on the  $\pi$  and  $a_1$   
 96 exchange between two protons in Fig. 5. In the high- $x_F$  range, the  $A_N$ s are mostly consistent with  
 97 the model calculations. However, the model does not reproduce the other  $A_N$ s because of the  $x_F$   
 98 dependence. The  $x_F$  dependence in the neutron  $A_N$  was observed for the first time by the RHICf  
 99 experiment. In Ref. [6], spin effects by the absorptive correction can also generate finite  $A_N$ . It  
 100 is also expected that other meson exchange between two protons, like  $\rho$  and  $a_2$ , could enhance the

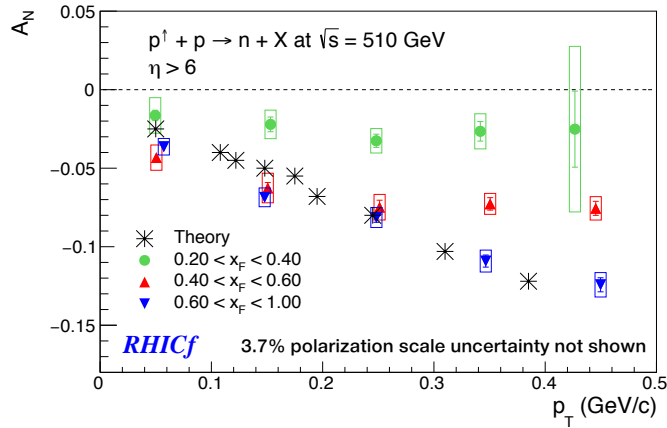


**Figure 4:** Comparison of the RHICf results with those of PHENIX as function of (a)  $p_T$  and (b)  $x_F$ .

101  $A_N$  in the high- $x_F$  range. Therefore, more comprehensive theoretical considerations are necessary  
 102 to understand the present results.

## 103 5. Summary

104 In June 2017, the RHICf Collaboration has measured the neutron  $A_N$ s in a wide  $p_T$  range of  
 105  $0.0 < p_T < 1.0$  GeV/c to study the spin-dependent production mechanism of the forward neutron  
 106 in detail. The resulting  $A_N$ s increase in magnitude with  $p_T$  in the high- $x_F$  range. However, the  $A_N$ s  
 107 reach a plateau in the low- $x_F$  range. A clear  $x_F$  dependence is observed, but there are indications  
 108 that the neutron  $A_N$ s also level off at high  $x_F$ . No  $\sqrt{s}$  dependence was observed when the RHICf  
 109 data were compared with those of PHENIX. The  $\pi$  and  $a_1$  exchange model could reproduce only  
 110 part of the RHICf data. Since other spin effects by the absorptive correction and  $\rho$  and  $a_2$  exchange  
 111 between two protons can also generate a finite  $A_N$ , additional production mechanisms need to be  
 112 considered to understand the present results.



**Figure 5:** Comparison of the RHICf results with the theoretical calculations.

## 113 References

- 114 [1] Y. Fukao *et al.*, Phys. Lett. B **650**, 325 (2007).
- 115 [2] K. Tanida (PHENIX Collaboration), J. Phys. Conf. Ser. **295**, 012097 (2011).
- 116 [3] J. Soffer and N. A. Törnqvist, Phys. Rev. Lett. **68**, 907 (1992).
- 117 [4] U. D’Alesio and H.J. Pirner, Eur. Phys. J. A **7**, 109 (2000).
- 118 [5] B.Z. Kopeliovich, B. Povh (Heidelberg), and I.K. Potashnikova, Z. Phys. C **73**, 125 (1996).
- 119 [6] B. Z. Kopeliovich, I. K. Potashnikova, I. Schmidt, and J. Soffer, Phys. Rev. D **84**, 114012  
120 (2011).
- 121 [7] U. A. Acharya *et al.* (PHENIX Collaboration), Phys. Rev. D **103**, 052009 (2021).
- 122 [8] RHICf Collaboration, J. Instrum. **16**, P10027 (2021).
- 123 [9] M. H. Kim *et al.* (RHICf Collaboration), Phys. Rev. Lett. **124**, 252501 (2020).
- 124 [10] S. Ostapchenko, Phys. Rev. D **83**, 014018 (2011).
- 125 [11] M. H. Kim *et al.* (RHICf Collaboration), Phys. Rev. D **109**, 012003 (2024).
- 126 [12] G. D’Agostini, Nucl. Instrum. Meth. A **362**, 487 (1995).
- 127 [13] G. Mitsuka, Private discussion based on [6] (2023).