

## Searching for the QCD critical point using Lee-Yang edge singularities

**D. A. Clarke,<sup>a,\*</sup> P. Dimopoulos,<sup>b</sup> F. Di Renzo,<sup>b</sup> J. Goswami,<sup>c</sup> C. Schmidt,<sup>d</sup> S. Singh<sup>d</sup> and K. Zambello<sup>e</sup>**

<sup>a</sup>*Department of Physics and Astronomy, University of Utah,  
Salt Lake City, Utah 84112, United States*

<sup>b</sup>*Dipartimento di Scienze Matematiche, Fisiche e Informatiche,  
Università di Parma and INFN, Gruppo Collegato di Parma I-43100 Parma, Italy*

<sup>c</sup>*RIKEN Center for Computational Science,  
Kobe 650-0047, Japan*

<sup>d</sup>*Universität Bielefeld, Fakultät für Physik,  
D-33615 Bielefeld, Germany*

<sup>e</sup>*Dipartimento di Fisica dell'Università di Pisa and INFN-Sezione di Pisa,  
Largo Pontecorvo 3, I-56127 Pisa, Italy.*

Using  $N_f = 2 + 1$  QCD calculations at physical quark mass and purely imaginary baryon chemical potential, we locate Lee-Yang edge singularities in the complex chemical potential plane. These singularities have been obtained by the multi-point Padé approach applied to the net baryon number density. We recently showed that singularities extracted with this approach are consistent with universal scaling near the Roberge-Weiss transition. Here we study the universal scaling of these singularities in the vicinity of the QCD critical endpoint. Making use of an appropriate scaling ansatz, we extrapolate these singularities on  $N_\tau = 6$  and  $N_\tau = 8$  lattices towards the real axis to estimate the position of a possible QCD critical point. We find an approach toward the real axis with decreasing temperature. We compare this estimate with a HotQCD estimate obtained from poles of a [4,4]-Padé resummation of the eighth-order Taylor expansion of the QCD pressure.

*The 40th International Symposium on Lattice Field Theory, LATTICE2023 31st July - 4th Aug, 2023  
Batavia, Illinois, USA*

---

\*Speaker

## 1. Introduction

At sufficiently high  $T$  and/or  $\mu_B$ , nucleons dissociate into their constituents, and nuclear matter changes phase from a gas of hadrons to quark-gluon plasma. At low enough temperature, one eventually encounters with increasing  $\mu_B$  a first-order line, which is expected to terminate at a second-order critical endpoint (CEP) belonging to the 3- $d$ ,  $\mathbb{Z}_2$  universality class. Unfortunately at  $\mu_B > 0$ , the Boltzmann factor becomes complex, and a direct estimate of the path integral through MCMC is no longer possible—this is the infamous sign problem. This limitation is a special hindrance when looking out for the possible CEP at nonzero  $\mu_B$  mentioned above.

Nevertheless many approaches have been developed to at least partially circumvent this limitation, each with its own merits, drawbacks, and regions of applicability. One possibility is to carry out simulations at pure imaginary  $\mu_B$ , where there is no sign problem. In this approach, observables calculated on the imaginary axis are then analytically continued to  $\mu_B \in \mathbb{R}$  [1, 2]. Another common tactic is to expand the pressure  $P$  in  $\mu_B/T$  about  $\mu_B/T = 0$  [3, 4]. Both of these methods have been successfully employed to, for example, extract higher-order Taylor coefficients of  $P$ ; unfortunately these quantities become prohibitively computationally expensive as the order increases, and state-of-the-art calculations only make it to order 8 [5, 6].

These difficulties motivate approaches that allow one to glean more information about the QCD phase diagram with fewer Taylor coefficients. The approach we study in these proceedings is the multi-point Padé [7], which allows one to construct high-order rational approximations using few Taylor coefficients. This approach has opened up a vibrant research campaign, facilitating our exploration of the QCD phase diagram at nonzero chemical potential [8–10].

## 2. Using rational approximations to locate the CEP

The Lee-Yang theorem [11] tells us that the zeroes of the partition function  $\mathcal{Z}_{\text{QCD}}$  that approach the real axis as the physical volume  $V \rightarrow \infty$  correspond to phase transitions; hence the pressure  $P = (T/V) \log \mathcal{Z}_{\text{QCD}}$  is expected to be singular at a phase transition in the thermodynamic limit, and correspondingly, the closest singularity to the origin in the complex  $\mu_B$  plane limits the radius of convergence of the pressure series.

The CEP is thought to belong to the 3- $d$  Ising universality class. In that context there are two relevant couplings  $t \sim T - T_c$  and  $h$ , the reduced temperature and symmetry-breaking coupling respectively, that parameterize the distance from the critical point. Away from  $T_c$ , the Lee-Yang singularity gets demoted to Lee-Yang edges (LYE), two branch cuts in the complex  $h$ -plane that pinch the real axis as  $T \rightarrow T_c$  [12]. According to the extended analyticity conjecture [13], the LYE are the closest singularities to the origin. So the thinking goes that the convergence radius of the pressure is limited by the LYE corresponding to the CEP<sup>1</sup>. Switching from  $h$  to  $z \equiv th^{-1/\beta\delta}$ , with  $\beta$  and  $\delta$  being universal critical exponents, the LYE is known to be located at

$$z_c = |z_c| e^{\pm i\pi/2\beta\delta}. \quad (1)$$

<sup>1</sup>This statement of course depends on the nearest phase transition. At high temperatures one needs to consider the Roberge-Weiss transition, and for small quark masses, the chiral phase transition has increasing importance.

Due to the sign problem, lattice calculations cannot be performed at real  $\mu_B$  directly. But eq. (1) opens up the possibility of carrying out simulations at pure imaginary  $\mu_B$ , following the scaling to learn something<sup>2</sup> about real  $\mu_B$ . Since a phase transition must occur at pure real  $\mu_B$ , one can follow the imaginary part until it hits zero, signalling the transition, and see what the real part is at that point. This requires us to find complex singularities.

Rational approximations are good candidates for approximating functions with singularities, as zeroes in their denominator mimic singular behavior. Given a generic function  $f$  of some variable  $x$ , we construct a rational approximation

$$R_n^m(x) \equiv \frac{\sum_{i=0}^m a_i x^i}{1 + \sum_{j=1}^n b_j x^j}. \quad (2)$$

To connect the approximation closely to  $f$ , let  $f$  have a formal Taylor series

$$f(x) = \sum_{k=0}^{\infty} c_k x^k. \quad (3)$$

The Padé approximant of order  $[m, n]$  is the rational approximation  $R_n^m$  with coefficients chosen such that  $R_n^m$  equals the Taylor series up to order  $m + n$ . This constraint yields a set of equations relating coefficients  $a_i, b_j, c_k$ . Some properties of Padé approximants are rigorously known; for instance a Padé approximant is unique when it exists, and the  $[m, n]$  approximant converges to  $f$  exactly as  $m \rightarrow \infty$  when  $f$  has pole of order  $n$ . Other properties must be deduced from numerical experiments; for instance if  $f$  has a branch cut, zeroes of the denominator tend to accumulate along the cut. Turning to  $P$ , we are faced with the difficulty mentioned in Sec. 1 that expansion coefficients are known only up to eighth order, which therefore limits the order of the corresponding Padé.

To mitigate this difficulty, we use the multi-point Padé. The problem to solve is that we only have information about the Taylor expansion to low order, say order  $s - 1$ . The multi-point Padé is a rational function  $R_n^m$  satisfying for  $0 \leq l < s - 1$

$$\left. \frac{d^l R_n^m}{dx^l} \right|_{x_i} = \left. \frac{d^l f}{dx^l} \right|_{x_i} \quad (4)$$

for  $N$  data points  $x_i$ . Thus the limited Taylor coefficient information is buttressed by constraints asking derivatives of the rational approximation to match the Taylor coefficients at multiple points  $x_i$ . In practice, if we have enough data points and a high enough order of the Taylor series, i.e. when  $m + n + 1 = Ns$ , eq. (4) yields a system of equations that can be solved to obtain the coefficients  $a_i$  and  $b_j$ . When  $m + n + 1 < Ns$ , one can fit the rational function coefficients, obtaining them through a maximum likelihood approach.

Unfortunately even less seems to be known about the multi-point Padé. To ensure that rational functions constructed in this way carry useful and robust information, we have carried out many numerical experiments. For example in the context of the 1- $d$  Thirring model, it was shown the multi-point Padé captures the exact chiral condensate well [14] and outperforms an ordinary Padé for capturing the exact number density [7]. In the context of the 2- $d$  Ising model, the

<sup>2</sup>Assuming we know the mapping from  $T$  and  $\mu_B$  to  $t$  and  $h$ .

multi-point Padé was successfully employed to reproduce correct critical exponents and Lee-Yang finite size scaling [15, 16]. Most relevant to the QCD phase diagram, this multi-point Padé approach was used to find the Roberge-Weiss transition temperature<sup>3</sup> [7] in agreement with earlier determinations [18, 19], and  $\text{Re } \mu_B$  obtained from this approach yields Lee-Yang finite size scaling that is consistent with expectations [20].

Since the QCD CEP is expected to be in the 3- $d$ ,  $\mathbb{Z}_2$  universality class,  $\beta\delta \approx 1.56$ . Unfortunately the exact mapping from  $T$  and  $\mu_B$  to the Ising model is not yet known. Sufficiently close to the CEP, a linear ansatz

$$\begin{aligned} t &= \alpha_t \Delta T + \beta_t \Delta \mu_B \\ h &= \alpha_h \Delta T + \beta_h \Delta \mu_B, \end{aligned} \quad (5)$$

where  $\Delta T \equiv T - T^{\text{CEP}}$  and  $\Delta \mu_B \equiv \mu_B - \mu_B^{\text{CEP}}$ , should be reliable. This suggests [21]

$$\mu_{\text{LY}} = \mu_B^{\text{CEP}} + c_1 \Delta T + i c_2 |z_c|^{-\beta\delta} \Delta T^{\beta\delta} + c_3 \Delta T^2 + \mathcal{O}(\Delta T^3). \quad (6)$$

Our strategy is then as follows: For each temperature ensemble, we use multi-point Padé approximations to find the signature of the closest singularity of  $\chi_1^B$  to the origin in the complex  $\mu_B$  plane. We fit the imaginary part of the singularity according to eq. (6), and since phase transitions exist at real  $\mu_B$ , the point where this fit crosses the  $T$ -axis yields a  $T^{\text{CEP}}$  estimate. At the same time, we fit the real part of the singularity to eq. (6); plugging  $T^{\text{CEP}}$  into this fit yields  $\mu_B^{\text{CEP}}$ .

### 3. Computational set up

We generate  $36^3 \times 6$  lattices with  $N_f = 2 + 1$  HISQ quarks [22] using SIMULATeQCD [23, 24]. We select bare parameters to maintain constant physical pion mass, i.e.,  $m_s/m_l = 27$ . To overcome the sign problem, we conduct our simulations at pure imaginary chemical potentials. For simplicity, we set  $\mu_l = \mu_s$ . We generated a varying number of configurations (ranging from 1800 to 16000) at temperatures 166.6, 157.5, 145.0, and 136.1 MeV. Configurations are spaced by either 10 or 5 molecular dynamics time units, depending on the temperature. The HotQCD [4,4]-Padé chemical potentials [25] are computed from their parameters  $c_{6,2}$  and  $c_{8,2}$  along with the observables  $\chi_2^B$  and  $\chi_4^B$ . Error bars on these chemical potentials are obtained by a Gaussian bootstrap of size 24000 implemented in the AnalysisToolbox [26], which is also used to carry out the fits.

### 4. Results

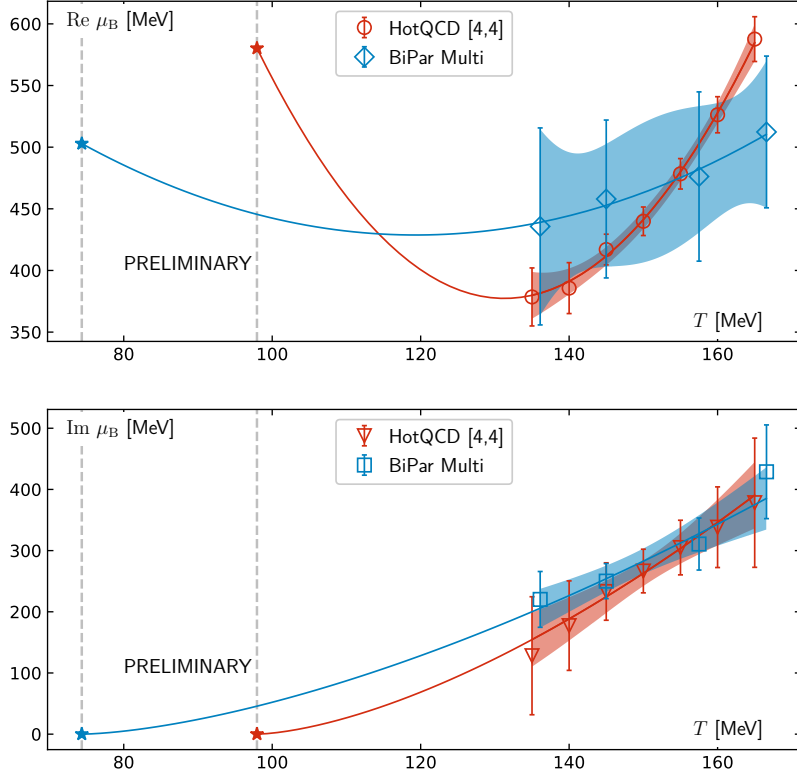
In Fig. 1 we show the current status of our  $(T^{\text{CEP}}, \mu_B^{\text{CEP}})$  estimate. The red data indicate singular  $\mu_B$  estimated from HotQCD data that used a [4,4] Padé on  $N_\tau = 8$  ensembles. Moreover the HotQCD data used aspect ratio  $N_\sigma/N_\tau = 4$ . Singular  $\mu_B$  coming from the multi-point Padé are in blue. To one significant digit, extrapolations indicate approximate critical endpoints  $(T^{\text{CEP}}, \mu_B^{\text{CEP}})$  of (100 MeV, 600 MeV) and (70 MeV, 500 MeV) for the HotQCD and Bielefeld-Parma data, respectively. We currently do not have a strong reason to favor one estimate over the other, hence we average these quantities, yielding approximately

$$(T^{\text{CEP}}, \mu_B^{\text{CEP}}) \approx (85 \text{ MeV}, 550 \text{ MeV}) \quad \text{and} \quad \mu_B^{\text{CEP}}/T^{\text{CEP}} \approx 6.5. \quad (7)$$

<sup>3</sup>We are currently updating our continuum-limit estimate of  $T_{\text{RW}}$  [17].

Year	Method	$T^{\text{CEP}}$ [MeV]	$\mu_B^{\text{CEP}}$ [MeV]	$\mu_B^{\text{CEP}}/T^{\text{CEP}}$
2023	CP+LQCD [27]	$\approx 100$	$\approx 580$	$\approx 5.8$
2022	LQCD [25]			$\gtrsim 3$
2021	DSE [28]	$\approx 130$	$\approx 500$	$\approx 3.8$
2021	DSE [29]	109	610	5.59
2020	fRG [30]	107	635	5.54
2017	BHE [31]	89	724	8.1

**Table 1:** Some results and constraints on the CEP location from conformal Padé (CP) and lattice QCD (LQCD) along with Dyson-Schwinger equations (DSE), functional renormalization group (fRG), and black hole engineering (BHE).



**Figure 1:** Projections of the location of  $\mu_B^{\text{CEP}}$  and  $T^{\text{CEP}}$  using the HotQCD [4,4] Padé and the present study’s multi-point Padé. Data are fit using eq. (6). In the lower panel, the stars indicate where  $\text{Im } \mu_B^{\text{CEP}} = 0$ , which estimates where the critical point should be. Following the dotted grey line upwards sets the cutoff for the fit to  $\text{Re } \mu_B$ , whose corresponding star indicates our estimate for  $\mu_B^{\text{CEP}}$ .

In Table 1 we collect several other estimates and constraints on the critical point from lattice data and effective models. Our preliminary result is in rough agreement with these other approaches, which consistently seem to find a temperature around 90-130 MeV and a chemical potential around 500-700 MeV. All approaches seem to be sensitive to some physical phenomenon there.

We stress that these results are still preliminary. The fit to singular  $\text{Re } \mu_B$  obtained from the multi-point Padé currently suffers from having only 4 data points to fit 3 parameters. The fit should hence stabilize with lower temperature data, which is also crucial for the imaginary part, giving us more information on the approach to the  $T$ -axis. Our error analysis is still undergoing some refinement. Hence the picture we give in Fig. 1 is subject to change<sup>4</sup> slightly as we converge on our final estimate.

## 5. Summary and outlook

The multi-point Padé has been tested in a variety of situations, including for the Ising model, Thirring model, and near the Roberge-Weiss critical point, which gives us confidence in the approach. We observe a possible indication of the QCD CEP around  $T \sim 85$  MeV,  $\mu_B \sim 550$  MeV when  $\mu_Q = \mu_S = 0$ . We stress that, as of these proceedings, this result is preliminary. In the short term, we are adding a lower temperature point and refining our CEP estimate strategy. A long-term goal is an eventual continuum-limit extrapolation. Lower temperature ensembles are being generated to get a better handle on the CEP fit. Besides probing the QCD CEP, we are also investigating the chiral transition with this approach.

## Acknowledgements

DAC was supported by the National Science Foundation under Grant PHY20-13064. CS and SS acknowledge support by the *Deutsche Forschungsgemeinschaft* (DFG, German Research Foundation) under grant 315477589-TRR 211 and project number 460248186 (PUNCH4NFDI).

## References

- [1] P. de Forcrand and O. Philipsen, *The QCD phase diagram for small densities from imaginary chemical potential*, *Nucl. Phys. B* **642** (2002) 290 [[hep-lat/0205016](#)].
- [2] M. D’Elia and M.-P. Lombardo, *Finite density QCD via imaginary chemical potential*, *Phys. Rev. D* **67** (2003) 014505 [[hep-lat/0209146](#)].
- [3] C. R. Allton, M. Doring, S. Ejiri, S. J. Hands, O. Kaczmarek, F. Karsch et al., *Thermodynamics of two flavor QCD to sixth order in quark chemical potential*, *Phys. Rev. D* **71** (2005) 054508 [[hep-lat/0501030](#)].
- [4] R. V. Gavai and S. Gupta, *Pressure and nonlinear susceptibilities in QCD at finite chemical potentials*, *Phys. Rev. D* **68** (2003) 034506 [[hep-lat/0303013](#)].

---

<sup>4</sup>For example, estimates and error bars reported here are a refinement of those we reported in Ref. [32].

- [5] S. Borsanyi, Z. Fodor, J. N. Guenther, S. K. Katz, K. K. Szabo, A. Pasztor et al., *Higher order fluctuations and correlations of conserved charges from lattice QCD*, *JHEP* **10** (2018) 205 [1805.04445].
- [6] HOTQCD collaboration, *Equation of state and speed of sound of (2+1)-flavor QCD in strangeness-neutral matter at nonvanishing net baryon-number density*, *Phys. Rev. D* **108** (2023) 014510 [2212.09043].
- [7] P. Dimopoulos, L. Dini, F. Di Renzo, J. Goswami, G. Nicotra, C. Schmidt et al., *Contribution to understanding the phase structure of strong interaction matter: Lee-Yang edge singularities from lattice QCD*, *Phys. Rev. D* **105** (2022) 034513 [2110.15933].
- [8] C. Schmidt, D. A. Clarke, G. Nicotra, F. Di Renzo, P. Dimopoulos, S. Singh et al., *Detecting Critical Points from the Lee–Yang Edge Singularities in Lattice QCD*, *Acta Phys. Polon. Supp.* **16** (2023) 1 [2209.04345].
- [9] C. Schmidt, *Fourier coefficients of the net-baryon number density*, *PoS LATTICE2022* (2023) 159 [2301.04978].
- [10] D. A. Clarke, K. Zambello, P. Dimopoulos, F. Di Renzo, J. Goswami, G. Nicotra et al., *Determination of Lee-Yang edge singularities in QCD by rational approximations*, *PoS LATTICE2022* (2023) 164 [2301.03952].
- [11] C.-N. Yang and T. D. Lee, *Statistical theory of equations of state and phase transitions. I. Theory of condensation*, *Phys. Rev.* **87** (1952) 404.
- [12] M. E. Fisher, *Yang-Lee Edge Singularity and  $\phi^3$  Field Theory*, *Phys. Rev. Lett.* **40** (1978) 1610.
- [13] P. Fonseca and A. Zamolodchikov, *Ising Field Theory in a Magnetic Field: Analytic Properties of the Free Energy*, *J. Stat. Phys.* **110** (2003) 527.
- [14] F. Di Renzo, S. Singh and K. Zambello, *Taylor expansions on Lefschetz thimbles*, *Phys. Rev. D* **103** (2021) 034513 [2008.01622].
- [15] F. Di Renzo and S. Singh, *Multi-point Padè for the study of phase transitions: from the Ising model to lattice QCD*, *PoS LATTICE2022* (2023) 148 [2301.03528].
- [16] S. Singh, M. Cipressi and F. Di Renzo, *Exploring Lee-Yang and Fisher Zeros in the 2D Ising Model through Multi-Point Padé Approximants*, 2312.03178.
- [17] C. Schmidt, D. A. Clarke, F. Di Renzo, P. Dimopoulos, J. Goswami, S. Singh et al., *Universal scaling and the asymptotic behaviour of Fourier coefficients of the baryon-number density in QCD*, *PoS LATTICE2023* (2024) 167.
- [18] C. Bonati, M. D’Elia, M. Mariti, M. Mesiti, F. Negro and F. Sanfilippo, *Roberge-Weiss endpoint at the physical point of  $N_f = 2 + 1$  QCD*, *Phys. Rev. D* **93** (2016) 074504 [1602.01426].



- [19] F. Cuteri, J. Goswami, F. Karsch, A. Lahiri, M. Neumann, O. Philipsen et al., *Toward the chiral phase transition in the Roberge-Weiss plane*, *Phys. Rev. D* **106** (2022) 014510 [2205.12707].
- [20] C. Schmidt, D. A. Clarke, F. Di Renzo, P. Dimopoulos, J. Goswami, S. Singh et al., *Detecting Lee-Yang/Fisher singularities by multi-point Padé*, *PoS LATTICE2023* (2024) 169.
- [21] M. A. Stephanov, *QCD critical point and complex chemical potential singularities*, *Phys. Rev. D* **73** (2006) 094508 [hep-lat/0603014].
- [22] HPQCD, UKQCD collaboration, *Highly improved staggered quarks on the lattice, with applications to charm physics*, *Phys. Rev. D* **75** (2007) 054502 [hep-lat/0610092].
- [23] L. Altenkort, D. Bollweg, D. A. Clarke, O. Kaczmarek, L. Mazur, C. Schmidt et al., *HotQCD on multi-GPU Systems*, *PoS LATTICE2021* (2022) 196 [2111.10354].
- [24] HotQCD collaboration, *SIMULATeQCD: A simple multi-GPU lattice code for QCD calculations*, 2306.01098.
- [25] HotQCD collaboration, *Taylor expansions and Padé approximants for cumulants of conserved charge fluctuations at nonvanishing chemical potentials*, *Phys. Rev. D* **105** (2022) 074511 [2202.09184].
- [26] L. Altenkort, D. A. Clarke, J. Goswami and H. Sandmeyer, *Streamlined data analysis in Python*, *PoS LATTICE2023* (2023) 136 [2308.06652].
- [27] G. Basar, *On the QCD critical point, Lee-Yang edge singularities and Pade resummations*, 2312.06952.
- [28] J. Bernhardt, C. S. Fischer, P. Isserstedt and B.-J. Schaefer, *Critical endpoint of QCD in a finite volume*, *Phys. Rev. D* **104** (2021) 074035 [2107.05504].
- [29] F. Gao and J. M. Pawłowski, *Chiral phase structure and critical end point in QCD*, *Phys. Lett. B* **820** (2021) 136584 [2010.13705].
- [30] W.-j. Fu, J. M. Pawłowski and F. Rennecke, *QCD phase structure at finite temperature and density*, *Phys. Rev. D* **101** (2020) 054032 [1909.02991].
- [31] R. Critelli, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti and R. Rougemont, *Critical point in the phase diagram of primordial quark-gluon matter from black hole physics*, *Phys. Rev. D* **96** (2017) 096026 [1706.00455].
- [32] J. Goswami, D. A. Clarke, P. Dimopoulos, F. Di Renzo, C. Schmidt, S. Singh et al., *Exploring the Critical Points in QCD with Multi-Point Padé and Machine Learning Techniques in (2+1)-flavor QCD*, 2401.05651.