

# 1 The road to a time-resolved RICH at LHCb

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2 **Federica Borgato on behalf of the LHCb RICH collaboration**<sup>a,b,\*</sup>

3 <sup>a</sup>*Dipartimento di Fisica dell'Università di Padova, Padova, Italy*

4 <sup>b</sup>*INFN, Sezione di Padova, Padova, Italy*

5 *E-mail: [federica.borgato@cern.ch](mailto:federica.borgato@cern.ch)*

The LHCb Collaboration is planning a major "Upgrade II" of the experiment with the purpose to increase the instantaneous luminosity by a factor of 5 to  $1.5 \times 10^{34} \text{cm}^{-2} \text{s}^{-1}$  during Long Shutdown 4 of the LHC. This poses stringent requirements on the capabilities of subdetectors due to the increased particle multiplicity and detector occupancy. The Upgrade II LHCb RICH (Ring-imaging Cherenkov) subsystem will require improvements in spatial and time resolution to maintain good particle identification performance in this environment. To address these challenges, an improvement in the readout electronics is planned during the Long Shutdown 3 (LS3), from 2026 to 2028. The goal is to timestamp the data with an accuracy better than  $O(100)$  ps from Run4 (2029-2032) onwards, in parallel with the development of novel sensors capable of sub-100 ps time resolution for Run5 (2035-2038). The LS3 enhancements foresee the use of the FastRICH, a 65-nm CMOS front-end readout chip, under development by CERN and ICCUB. In these proceedings a prototype opto-electronic chain for Cherenkov photon detection with precise-timing is presented as a proof-of-principle for the future RICH detectors. This readout chain is equipped with the FastIC, a precursor to the FastRICH with a similar dynamic range. In order to evaluate the time resolution of the prototype photo-detection chain equipped with the FastIC chip, beam test campaigns were conducted in 2021 and 2022 at CERN SPS charged particle beam facility with 180 GeV/c protons and pions. The results of such beam tests are presented, with a focus on the final results coming from the timing analysis and on the corrections applied to account for the time-walk effect.

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\*Speaker

## 7 1. Introduction

8 The LHCb detector will undergo a major upgrade in Long Shutdown 4 during the high  
9 luminosity phase of LHC and having detectors with timing capabilities will be fundamental in order  
10 to mitigate the multiple proton-proton interactions per bunch crossing. In particular, concerning  
11 the LHCb RICH detector, the increased occupancy would cause a degradation of the Particle  
12 Identification (PID) performance. In this context the LHCb RICH collaboration is conducting an  
13 R&D in order to develop a prototype single-photon detector with precise-timing capabilities within  
14 the testbeam framework described in [1].

## 15 2. The LHCb RICH detector

16 The LHCb experiment relies on the RICH detector system for the charged hadron identification  
17 in a wide momentum range. The RICH system is composed of two distinct RICH detectors.  
18 The first, known as RICH1, is positioned upstream of the LHCb magnet and uses  $C_4F_{10}$  as gas  
19 radiator to identify particles with momenta ranging from  $\approx 2.6$  to  $\approx 65$  GeV/c. The second detector,  
20 RICH2, is situated downstream of the magnet and employs a  $CF_4$  gas radiator, allowing for precise  
21 identification of hadrons within a momentum range spanning from  $\approx 15$  to  $\approx 100$  GeV/c. Cherenkov  
22 photons, generated within the gas radiators, are efficiently directed towards an array of Multianode  
23 Photomultipliers (MAPMT) by a spherical mirror followed by a planar one.

## 24 3. Exploiting the timing information with the RICH detector

25 It has been demonstrated [2] that when considering a specific particle trajectory with known  
26 momentum, it is feasible to forecast the time of arrival (ToA) of Cherenkov photons on the detector  
27 plane with a precision better than 10 ps. Exploiting such precise-timing information for background  
28 and noise rejection would allow to improve the RICH PID performance. In order to achieve this  
29 without increasing the data volume by orders of magnitude, a readout electronics capable of a  
30 nanosecond-scale time shutter around the expected RICH detector hit time and a time gate in the  
31 reconstruction software is being considered. The nanosecond-scale time gate reduces the TDC  
32 range and eliminates out-of-time background hits from particle interactions with the photon sensors  
33 and beam. Such a gate is already applied for the Run3 LHCb RICH at lower resolution. The further  
34 step will be to develop electronics endowed with a TDC with bins smaller than the sensor time  
35 resolution, in order to consider only photons within a time gate around the predicted hit times from  
36 photons emitted by the particle applied in software. The LHCb RICH LS3 enhancements aim to  
37 equip the detector with new front-end readout electronics including the FastRICH ASIC capable  
38 of time-stamping photon detector hits with  $\approx 25$  ps time bins [3]. There is no plan to change the  
39 current photon sensors, the Hamamatsu MAPMTs, which have a time resolution of  $\approx 150$  ps.

## 40 4. The beam test campaign at CERN-SPS

41 The goal of the beam test campaign was to test a prototype readout chain equipped with the  
42 FastIC [4], the predecessor of the FastRICH, for precise-timing of the detected Cherenkov photons.

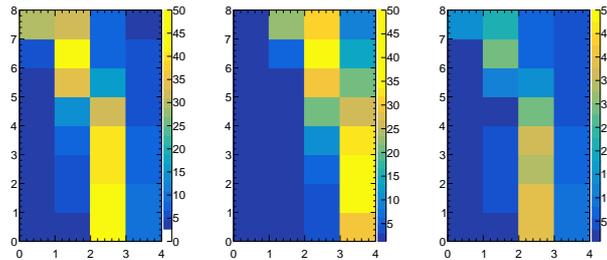
43 The beam test setup involved a borosilicate glass lens placed upstream of the sensors, to  
44 generate Cherenkov photons and focus the Cherenkov ring on the detector plane. The sensors used  
45 in the tests included the 1-inch and 2-inch MAPMTs<sup>1</sup> currently used in the LHCb RICH, as well as a  
46 Silicon Photomultiplier matrix<sup>2</sup>. Each sensor was accompanied by a dedicated front-end board and

<sup>1</sup>Hamamatsu Photonics, R11265-103-M64 and R12699-406-M64 datasheets.

<sup>2</sup>Hamamatsu Photonics, S14161-3050HS-08 datasheet.

47 a digital board (DB) for signal extraction. The DB incorporated a custom Time-to-Digital Converter  
48 implemented in an FPGA with an average bin width of 150 ps [5]. The trigger for the setup was  
49 provided by a crossed pair of scintillators combined with a Micro-Channel Plate Photomultiplier  
50 (MCP-PMT), which served as the time reference for the system.

51 The focus of this analysis will be on the results concerning the 1-inch MAPMT. Various  
52 configurations have been considered, both changing the focus of the Cherenkov light ring and the  
53 MAPMT high voltage conditions. Figure 1 displays the hitmaps for the three different datasets that  
54 have been analyzed.

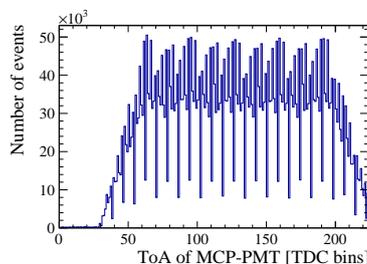


**Figure 1:** MAPMT hitmaps for the focused ring configuration HV=-1000V, the defocused ring configuration HV=-1000V and the focused ring configuration HV=900V. The occupancy is expressed as the percentage of channels fired on for each trigger event.

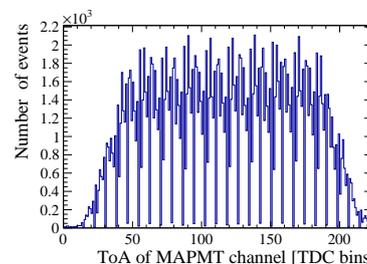
## 55 5. Single-photon time resolution: analysis method

56 The TDC-in-FPGA system records the ToA and falling edge of the photon signal for all 32  
57 channels of the MAPMT read out by the FastIC, in addition to capturing the ToA of the reference  
58 signal from the MCP-PMT. The TDC time window starts at the rising edge of the 25 ns clock when  
59 a trigger event is recorded. The recorded ToA of the MCP-PMT signal, measured in TDC bins,  
60 is shown in Figure 2. The distribution is uniform as the particle arrival is asynchronous with the  
61 system clock. Figure 3 illustrates a similar spread in asynchronous ToA for single-photon events  
62 on a typical Cherenkov ring MAPMT channel. However, since both the MCP-PMT and MAPMT  
63 signals originate from the same track events, the distribution of the time difference, denoted as  $\Delta$   
64 ToA ( $\text{Channel}_{\text{MAPMT}} - \text{MCP}$ ) and shown in Figure 4, is narrower. This narrower distribution enables  
65 the extraction of the single-photon time resolution (SPTR). In the following, the analysis method  
66 will be described for a single MAPMT channel.

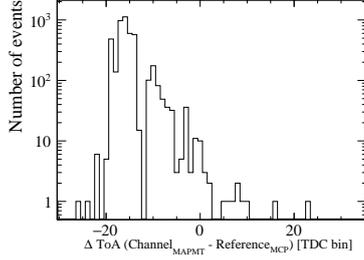
67 In this analysis, the data are grouped in subsets to eliminate two primary factors influencing  
68 time resolution: time walk and TDC-bin variation. Time walk is a consequence of the fluctuations



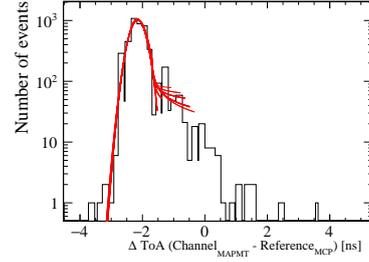
**Figure 2:** Recorded ToA distribution of the MCP-PMT with respect to the start of the TDC readout window.



**Figure 3:** Recorded ToA distribution of a typical MAPMT channel with respect to the start of the TDC readout window.



**Figure 4:** The time difference distribution of a 1-inch MAPMT channel with respect to the MCP-PMT, in units of TDC bins. These data are a subset for one MCP-PMT ToA bin.



**Figure 5:** The same distribution as in Figure 4, using the calibration data to convert to nanoseconds for the MAPMT TDC bins and the single MCP-PMT reference bin. Moreover the CrystalBall fits to the distribution are displayed, with different fit ranges in order to estimate the systematic uncertainty on the Gaussian sigma parameter.

69 in MAPMT signal amplitudes. At a fixed threshold, this phenomenon results in earlier ToA for  
 70 large signals and delayed ToA for signals near the threshold. To disentangle this effect from the  
 71 SPTR, the data is partitioned into subsets based on Time-over-Threshold (ToT) bins. Within a given  
 72 ToT bin, time walk is minimal since the signals exhibit nearly identical pulse shapes. The variation  
 73 in TDC bin width affects both the reference time from the MCP-PMT and the MAPMT channel  
 74 being analyzed. To regroup events with similar TDC uncertainty in the reference time, the data  
 75 is categorized based on the ToA bin of the MCP-PMT. The TDC has a periodic structure, as it is  
 76 possible to observe in Figure 2, by which in total there are 14 sets of 16 repeated bins. The data  
 77 were therefore subdivided into 16 groups by accumulating these repeated bins.

78 The data pertaining to a specific group of MCP-PMT TDC bins is presented in Figure 4, and  
 79 it is expressed in terms of TDC bins. A pattern emerges within the data due to the variable width  
 80 of TDC bins. Narrower TDC bins exhibit lower event counts compared to broader TDC bins. In  
 81 Figure 5, this effect is rectified through the conversion of the data from TDC bins to picoseconds,  
 82 achieved via TDC calibration. The time associated with each bin is computed by summing the  
 83 widths of all preceding bins and adding half of the width in picoseconds of the bin in question. The  
 84 histogram depicted in Figure 5 employs variable bin widths that mirror the TDC bin width.

85 The time difference distributions, of which Figure 5 is an example, are fitted using the Cryst-  
 86 talBall function, with the time resolution derived from the Gaussian sigma parameter. Multiple fits  
 87 are performed, adjusting the fitting range to account for parameter variations. A subset of Gaussian  
 88 sigmas is isolated by selecting fits which well describe the distribution, as one can observe in Figure  
 89 5. Subsequently, the simple mean and standard deviation of this subset are computed. In order to  
 90 find a representative time resolution for this subset out of the many fits performed (as in Figure 5),  
 91 the Gaussian sigma which is the closest to the subset mean is taken. Such reference time resolution  
 92 is denoted as  $\hat{\sigma}_t^{bin}$ , with "bin" referring to a previously defined subset of individual MCP-PMT ToA  
 93 bins. The associated uncertainty is computed as the quadratic sum of the fitting uncertainty and the  
 94 standard deviation of the subset. For each MCP-PMT ToA bin, the time resolution is estimated by  
 95 subtracting the MCP-PMT TDC bin width contribution:

$$\sigma_t^{bin} = \sqrt{(\hat{\sigma}_t^{bin})^2 - (\sigma_t^{MCPbin})^2} \quad (1)$$

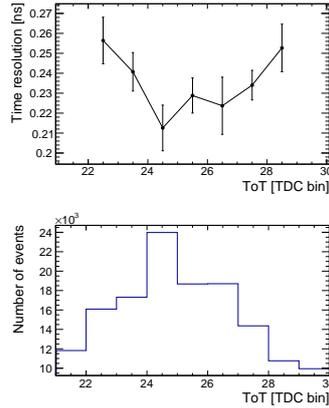
96 where  $\sigma_t^{MCPbin}$  is obtained by dividing the MCP-PMT ToA bin width of the specific subset by  
 97  $\sqrt{12}$ . The uncertainty associated with  $\sigma_t^{bin}$ , denoted as  $\sigma_{\sigma_t^{bin}}$ , is determined by combining, in  
 98 quadrature, the statistical component arising from fit uncertainty and the systematic component  
 99 derived from the standard deviation of the set of successful fits.

100 Subsequently, starting from these fitted subsets, the time resolutions are recombined in the  
 101 following two stages to obtain the SPTR:

- 102 • The 16 obtained values of  $\sigma_t^{bin}$  from the MCP-PMT ToA groups are recombined using a  
 103 weighted average, in order to obtain the time resolution for each subset of a single ToT bin,  
 104  $\sigma_{t,singleToT}$ .

105 To account for uncertainty underestimations, the reduced  $\chi^2$  of each  $\sigma_t^{bin}$  with respect to  
 106 the weighted average,  $\sigma_{t,singleToT}$ , is computed. If such reduced  $\chi^2$  is higher than 1, the  
 107 uncertainty on  $\sigma_t^{bin}$  is weighted accordingly [6].

- 108 • In Figure 6, the time resolution of a typical MAPMT channel with respect to the MCP-PMT  
 109 is reported as a function of the MAPMT ToT bin number. A ToT range of  $\pm 3$  bins around  
 110 the most populated bin was chosen. In order to combine the time resolutions from these ToT  
 111 bins, each  $\sigma_{t,singleToT}$  was summed quadratically, weighted by its statistics.



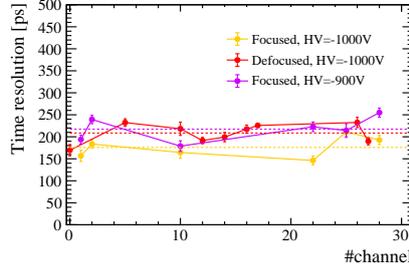
**Figure 6:** 1-inch MAPMT channel time resolution with respect to the MCP-PMT reference time, plotted as a function of the MAPMT ToT in units of TDC bins.

## 112 6. Single-photon time resolution results

113 Figure 7 displays the time resolution obtained for the channels on the Cherenkov ring as a  
 114 function of the channel number. Only the results for such channels are displayed since those are the  
 115 ones that contain suitable statistics for analysis. Each time resolution result has been respectively  
 116 corrected for the different jitter contributions, namely the  $\sigma_{MCP-PMT} \approx 110$  ps,  $\sigma_{FastIC} \approx 25$  ps  
 117 and  $\sigma_{TDC\ bins} \approx 150/\sqrt{12}$  ps. To obtain an overall time resolution, the simple mean and standard  
 118 deviation of such set of data is computed. Table 1 reports the results for two configurations:  
 119 including and excluding the channels which are located at the border of the MAPMT. Such channels  
 120 showed a worse time resolution with respect to the others and require additional investigation..

121 In the case of the focused Cherenkov ring, high voltage equal to -1000V, the time resolution  
 122 obtained excluding the border channels is found out to be compatible with the expected MAPMT  
 123 Transit Time Spread of approximately 150 ps from the manufacturer. Comparing the focused and  
 124 defocused Cherenkov ring data set, it is possible to notice that in the defocused case the time

125 resolution estimation is higher, yet still compatible with the one of the focused case. The data  
126 set with focused Cherenkov ring and high voltage equal to -900V shows a slightly worse time  
127 resolution, as expected.



**Figure 7:** Time resolutions as a function of the channel number. The dotted lines represent the datasets simple mean.

Data taking conditions	$\sigma_t$ (ring ch.) [ps]	$\sigma_t$ (no border ch.) [ps]
Focused ring, HV=-1000V	$212 \pm 73$	$176 \pm 24$
Defocused ring, HV=-1000V	$234 \pm 84$	$208 \pm 22$
Focused ring, HV=-900V	$229 \pm 37$	$217 \pm 29$

**Table 1:** Average time resolution results for different beam test data taking conditions.

## 128 7. Conclusions

129 In these proceedings the first time resolution results for the prototype readout chain with  
130 picosecond timing capabilities for the LHCb RICH detector upgrades has been presented. Such  
131 prototype, including the FastIC, has been extensively tested in a beam test campaign at CERN-SPS.  
132 The results are promising and the 1-inch MAPMT time resolution estimation is compatible with  
133 the expected Transit Time Spread. These results give a strong basis to pursue the studies for the  
134 next LHCb RICH upgrades.

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