Search for cosmic neutrino point sources and extended sources with 6-21 lines of KM3NeT/ARCA

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The identification of cosmic objects emitting high energy neutrinos provides new insights about the Universe and its active sources. The existence of cosmic neutrinos has been proven by the IceCube Neutrino Observatory, but the big question of which sources these neutrinos originate from remains largely unanswered. The KM3NeT detector for Astroparticle Research with Cosmics in the Abyss (ARCA), is currently being built in the Mediterranean Sea. It will have an instrumented volume of a cubic kilometre, and excel at identifying cosmic neutrino sources due to its unprecedented angular resolution (< 0.1 degree for muon neutrinos with E > 100 TeV). KM3NeT has a view of the sky complementary to IceCube, and is sensitive to neutrinos across a wide range of energies. Currently KM3NeT/ARCA is taking data with 21 detector lines. This contribution will present the results of point source and extended source searches with KM3NeT/ARCA with data from 2021 and 2022 taken with an evolving detector geometry.

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1. Introduction

IceCube has identified TXS 0506+056 as a flaring neutrino source [1–3] and has recently identified NGC 1068 as a steady point source of high energy neutrinos (4.2σ) [4] (November 4th, 2022). In their respective energy ranges the sources NGC 1068 and TXS 0506+056 contribute no more than ~ 1% to the overall diffuse flux of astrophysical neutrinos. This indicates that there is still a lot of work to be done in identifying the sources that produce high energy neutrinos. This is where KM3NeT/ARCA will play an important role.

The KM3NeT/ARCA detector is a neutrino telescope currently under construction at the bottom of the Mediterranean Sea. It consists of a three-dimensional grid of optical modules that detect the Cherenkov light from neutrino interaction products. The full detector will have 230 detection lines of 18 optical modules and will instrument $\sim 1 \text{ km}^3$ volume of sea water.

For 101 astrophysical objects it is tested whether they are high energy neutrino emitters. In order to identify a cosmic neutrino signal on top of the atmospheric background of muons and neutrinos, statistical methods are developed based on Monte Carlo pseudo experiments. With the KM3NeT/ARCA data taken with 6, 8, 19, and 21 detection units, the expected sensitivity of KM3NeT/ARCA to neutrino point and extended sources in our universe is calculated in a binned likelihood analyses. The methods and results are presented in this contribution.

2. KM3NeT/ARCA6-21 data and performance

2.1 Data sample

This analysis uses data from a period when KM3NeT/ARCA was running with 6 - 21 detector strings between May 2021, and December 2022. The effective data taking time of ~ 424 days contains: ~ 92 days with 6 lines (referred to as ARCA6), ~ 210 days with 7 - 8 lines (referred to as ARCA8), ~ 52 days with ARCA19 and ~ 69 days with ARCA21. The different periods have been studied individually, but unless stated differently, the plots shown in this contribution include the full period.

2.2 Background rejection, event selection

The event selection criteria are applied to reduce the atmospheric muon contamination and discard badly reconstructed events. Events are selected using cuts on the number of hits used in the reconstruction, the reconstructed direction, and the fit quality, which is based on the likelihood of the reconstruction. For the ARCA19-21 period an additional boosted decision tree model is trained to further discriminate signal from background.

The selection efficiencies for ν_{μ} CC track events reconstructed within 1° from the true neutrino direction is 81% for the ARCA6-8 period and 95% for the ARCA19-21 period. A cosmic flux of $\phi_{\nu_i+\bar{\nu}_i}^{\cos} = 1.2 \cdot 10^{-8} \left(\frac{E_{\nu}}{\text{GeV}}\right)^{-2} \text{GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ yields 16.5 events in the ARCA6-21 data sample.

In Figure 1, the reconstructed energy distribution of the event samples are shown for the ARCA6-8 and ARCA19-21 periods. There is a 13% overall underestimation of the data by the simulation, but this effect does not affect the analysis since the background estimation comes from scrambled data. As it can be seen in Figure 1 (left), the ARCA6-8 is still dominated by badly



reconstructed atmospheric muons, while the ARCA19-21 sample has a higher neutrino purity (Figure 1 - right). It can be explained by the different size of the 2 detectors.

Figure 1: Reconstructed energy distributions after applying the event selections for the ARCA6-8 (left) and ARCA19-21 (right) period.

2.3 Background expectation from scrambled data

The background rate, as a function of reconstructed energy and declination (δ), is data-driven. For the sin(δ) a spline $F(\delta)$ is fitted, and the energy dependence $F(\log_{10}(E))$ is parameterised by a fit with two Gaussians. For each individual candidate source that is studied, the expected density of background events in sr⁻¹ $\log_{10}(\text{GeV})^{-1}$ is obtained by:

$$N_{\text{bkg}} = n \times F(\delta) \times F(\log_{10}(E)) \tag{1}$$

where the normalisation n is chosen such that the integral over the full solid angle of the sky and over E gives exactly the total number of events in the data.

2.4 Detector response from Monte Carlo simulations

The response of the detector to a possible signal is modeled via detector response functions (acceptance, and resolutions), which are based on simulations [5–7]. Figure 2 (left) shows the effective area of ARCA6-21 compared with ANTARES for similar - yet not exactly the same - event selection, and the angular deviation (right) for selected track events from ν_{μ} CC interactions for the ARCA6-8 and ARCA19-21 periods. The ARCA6-8 period reaches an angular deviation of ~ 1° at 100 PeV while the ARCA19-21 period already reaches 0.2°. For the full detector this is expected to improve towards 0.06° [8].





Figure 2: Effective area at selection level (left) for the different ARCA detectors for a flux of $\nu_{\mu} + \bar{\nu}_{\mu}$ that interact in the CC interaction. The effective areas are compared with the ANTARES effective area for upgoing events. The angular deviation (right) for the ARCA6-8 and ARCA19-21 periods with their corresponding 68% quantiles.

3. Method

3.1 Candidate sources

The 101 astrophysical objects¹, are selected based on GeV – PeV information from other neutrino experiments, cosmic ray experiments as well as electromagnetic measurements. Besides adding interesting sources from previous point source studies and real time alerts by IceCube and ANTARES, historically interesting sources were added as well as high-energy γ -ray source by the LHAASOO collaboration. Furthermore the γ -ray TeVCat is consulted to select interesting Galactic sources with a hint for a hadronic component, and active galactic nuclei were selected based on their maximal flux observed in radio. For the 10% of sources that are spatially extended in the sky, the detector point spread function is modified with a Gaussian with the spread (σ) equal to the corresponding extension ranging from 0.11 to 1 degrees.

3.2 Analysis method

A binned formalism is used where the compatibility of the data with a point source hypothesis is tested by means of 2D histograms of distance to the candidate source ψ in the range [0 - 5] in degrees, v.s. $\log_{10}(E_{rec})$ in the range [1 - 8], in $\log_{10}(GeV)$. For each bin *i*, there is an estimate of the number of signal events, S_i , expected for a reference flux ϕ_{ref} and the number of background events, \mathcal{B}_i .

¹The 101 analysed candidates are:

LMC N132D, HESS J1356-645, SNR G318.2+00.1, IC-hotspot South hemisphere, HESS J1614-518, PKS 2005-489, HESS J1640-465, RX J0852.0-4622, HESS J1641-463, VelaX, PKS 0537-441, CentarrusA, PKS 1424-418, J0106-4034, RX J1713.7-3946, CTB 37A, PKS 1454-354, HESS J1741-302, J1924-2914, Galactic center, J2258-2758, J1625-2527, NGC 253, J0457-2324, J1833-210A, J0836-2016, J1911-2006, J0609-1542, SNR G015.4+00.1, J2158-1501, LHAASO J1825-1326, QSO 1730-130, J1337-1257, J2246-1206, PKS 0727-11, TXS 1749-101, HESS J1828-099, J1512-0905, J0607-0834, QSO 2022-077, RS Ophiuchi, J0006-0623, 3C279, LHAASO J1839-0545, J2225-0457, 4FGL J0307.8-0419, PKS 1741-038, LHAASO J1843-0338, J0339-0146, J0423-0120, J0725-0054, LHAASO J1849-0003, NGC 1068, J2136+0041, J1058+0133, J0108+0135, PKS 0215+015, J1229+0203, TXS 0310+022, 3C403, CGCG 420-01, J0433+0521, TXS 0506+056, HESS J0632+057, LHAASO J1908+0621, PKS 2145+067, W 49B, OT 081, PKS 1502+106, J0242+1101, J2232+1143, J0121+1149, J1230+1223, J0750+1231, PKS 1413+135, J0530+1331, W 51, J2253+1608, PKS 0735+178, LHAASO J1929+1745, J0854+2006, RGB J2243+203, LHAASO J0534+202, IC 443, PKS 1424+240, MG3 J225517+2409, 2HWC J1949+244, LHAASO J1956+2845, J0237+2848, J1310+3220, J1613+3412, LHAASO J2018+3651, J2015+3710, MGRO J2019+37, Mkx 421, J0927+3902, NGC 4151, Mkx 501, J1642+3948, J0555+3948, LHAASO J2018+4025.

The analysis is done for spectral index $\gamma = 2$ and 2.5. Furthermore a spectrum in line with the most recent NGC 1068 IceCube observation [4] ($\gamma = 3.2$) is tested for this particular source, and this particular source only.

3.2.1 Likelihood formalism

For every (pseudo) dataset it is determined how compatible it is with the expected signal + background model (H1), and with the background-only model (H0). This is expressed in the log-likelihood ratio (λ) which serves as a test statistic:

$$\lambda = \log L(\mu = \hat{\mu}) - \log L(\mu = 0)$$
⁽²⁾

$$\log L = \sum_{i \in \text{bins}} N_i \log(\mathcal{B}_i + \mu \mathcal{S}_i) - \mathcal{B}_i - \mu \mathcal{S}_i.$$
(3)

where μ represents the signal strength and $\hat{\mu}$ the best fitted signal strength for a given (pseudo) dataset.

3.3 Systematic uncertainties

Systematic uncertainties are taken into account for two main parameters describing the detector performance: the angular resolution $(0.5^{\circ}$ Gaussian smearing) and the acceptance (30% Gaussian spread). The systematic uncertainty on the estimated angular deviation comes from the uncertainty on the absolute orientation of the detector around its z-axis and uncertainty on the tilts of the lines. The uncertainty on the acceptance takes into account the uncertainties on the water and PMT properties. They have been studied in detail in [9, 10].

3.4 Discovery potential and sensitivity

The sensitivity and discovery potential is obtained for a range of declinations with 50.000 pseudo-experiments for the H0 distribution, and 5000 pseudo-experiments for each H1 distribution. Table 1 summarises the results for the E^{-2} and $E^{-2.5}$ spectra. For each of the candidate sources, the discovery potential and sensitivity are calculated with 30.000 pseudo-experiments for the H0 distribution, and 3000 pseudo-experiments for each H1 distribution. Depending on the declination, the sensitivity is at a flux level that would produce 2.2 - 3.2 (for an E^{-2} spectrum), 2.4 - 3.6 (for an $E^{-2.5}$ spectrum) signal events per source in the full data taking period. A discovery would require 1.5 - 4.0 (for an E^{-2} spectrum), 2.2 - 5.6 (for an $E^{-2.5}$ spectrum) signal events per source in the full data taking period.

4. Results

After unblinding the data, there were on average 31 events observed inside the 5 degree cone around each candidate source. For each dataset in the 5° cone, the p-value (significance) is computed to determine whether or not the candidate source is significantly detected.

All candidate sources are consistent with a background-only hypothesis, i.e. no candidate source is significantly observed. The most signal-like sources are:

$E^{-2.0}$					
δ (deg)	$N_{\rm sig}$ ref.flux	N _{bg}	med. λ_{H0}	sens. (N _{sig})	disc. (N _{sig})
-90	1.25	51.05	-0.91	3.13	3.96
-70	1.30	51.12	-0.95	3.05	3.82
-50	1.43	51.65	-1.07	2.90	3.30
-30	0.88	29.85	-0.65	2.68	3.17
-10	0.78	24.44	-0.58	2.70	2.88
10	0.72	28.00	-0.54	2.60	2.62
30	0.66	28.35	-0.51	2.47	2.26
50	0.51	21.98	-0.40	2.32	1.83
55	0.33	13.75	-0.26	2.24	1.59
$E^{-2.5}$					
δ (deg)	$N_{\rm sig}$ ref.flux	$N_{\rm bg}$	med. λ_{H0}	sens. (N_{sig})	disc. (N_{sig})
-90	13.18	51.05	-8.89	3.58	5.38
-70	13.17	51.12	-8.93	3.59	5.53
-50	12.91	51.65	-8.90	3.57	5.16
-30	9.02	29.85	-6.13	3.46	4.82
-10	7.65	24.44	-5.23	3.19	4.38
10	6.17	28.00	-4.28	3.21	3.87
30	4.65	28.35	-3.37	2.78	3.35
50	2.74	21.98	-2.05	2.62	2.66
55	1.74	13.75	-1.31	2.47	2.23

Table 1: Summary of the discovery potential and sensitivity for the reference fluxes: $\phi_{v_i+\bar{v}_i}^{\cos} = 1.2 = 1 \cdot 10^{-4} \left(\frac{E}{\text{GeV}}\right)^{-2}$ (top), $1.8 \cdot 10^{-1} \cdot \left(\frac{E}{\text{GeV}}\right)^{-2.5}$ (bottom), in GeV⁻¹ cm⁻² s⁻¹. Listed are the total number of signal events for the concerned reference flux and the number of background events inside the 5 degree cone represented by the analysed 2D histograms, and the medians of the H0 test statistic (λ) distribution with the resulting number of events needed for a 90% confidence level exclusion or a 3 σ discovery.

- For the $E^{-2.0}$ spectrum: The AGN J1512-0905 at right ascension 228.21°, declination -9.10° with a pre-trial p-value of 0.011. The post-trial p-value for the E^{-2} analysis was 0.66.
- For the $E^{-2.5}$ spectrum: The BL Lac Mkn 421 at right ascension 166.11°, declination 38.21° with a pre-trial p-value of 0.020. The post-trial p-value for the $E^{-2.5}$ analysis was 0.56.
- For the $E^{-3.2}$ spectrum: NGC 1068 was the only source candidate studied. This active galactic nuclei is located at right ascension 40.7°, declination -0.01° (sin $\delta = 0.00$) with a pre-trial p-value of 0.98. Since there was only one trial, the post-trial p-value for the $E^{-3.2}$ analysis is automatically also: 0.98.

Because no significant detection is made, upper limits are set on the flux. If λ_{obs} is below the median expected λ for the background-only test statistic distribution, $\lambda(\mu_{true} = 0)$ is used for the upper limit calculation. This means the limit will match with the sensitivity for such cases. The computed upper limits for each source are shown in Figure 3 together with the overall sensitivity.



Figure 3: Sensitivity and observed limits for ARCA6-21 using 424 days of data. The $\gamma = 2.0$ and 2.5 analyses show the observed limits of 101 sources while the 3.2 analysis only looked at NGC 1068.

In Figure 4 the final ARCA6-21 E^{-2} point source results are compared with the IceCube and ANTARES experiments [11–13], as well as the previous ARCA point source analyses, and with the expected sensitivity for the full ARCA detector comprising 2 building blocks [8]. The improvement in sensitivity is expected to accelerate due to the planned deployment of 9 detection lines before the end of 2023 and another 9 months of unprocessed ARCA21 data.



Figure 4: Comparison of the observed limits on the flux for the ARCA6-21 point source analysis assuming an E^{-2} source spectrum as a function of $sin(\delta)$, with earlier presented results of ANTARES 15 years [11] and IceCube [12, 13], as well as the ARCA230 10 years sensitivity [8].

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