

## Resolving the $R_{pA}$ and $v_2$ puzzle of $D^0$ mesons in $p$ -Pb collisions

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It has been difficult to reconcile the experimental data on the  $D^0$  meson nuclear modification factor and elliptic flow in  $p$ -Pb collisions at LHC energies. Here we study these observables with the string melting version of a multi-phase transport model, which has been improved with the implementation of the Cronin effect (or transverse momentum broadening) and independent fragmentation for charm quarks. Using a strong Cronin effect allows us to provide the first simultaneous description of the  $D^0$  meson  $R_{pA}$  and  $v_2$  data at  $p_T \leq 8$  GeV/ $c$ . The model also provides a reasonable description of the  $D^0$  meson  $p_T$  spectra and the low- $p_T$  (below  $\sim 2$  GeV/ $c$ ) charged hadron spectra in  $p + p$  and  $p$ -Pb collisions as well as  $R_{pA}$  and  $v_2$  in  $p$ -Pb collisions. We find that both parton scatterings and the Cronin effect are important for the  $D^0$  meson  $R_{pA}$ , while parton scatterings are mostly responsible for the  $D^0$  meson  $v_2$ . Our results indicate that it is crucial to include the Cronin effect for the simultaneous description of the  $D^0$  meson  $R_{pA}$  and  $v_2$ . Since the Cronin effect is expected to grow with the system size, this work implies that the Cronin effect could also be important for heavy hadrons in large systems.

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## 1. Introduction

The nuclear modification factors including  $R_{AA}$  and  $R_{pA}$  and elliptic flow  $v_2$  are frequently utilized to study the hot and dense matter generated during the collision of two nuclei at high energies. Recent measurements from the experiments at the LHC have revealed a relatively flat  $R_{pA}$  [1] close to unity but a significant  $v_2$  [2] for  $D^0$  mesons in  $p$ -Pb collisions, which has posed a substantial challenge for the theoretical understanding. Both hydrodynamics-based models and parton/hadron transport models suggest that significant interactions between charm quarks and the quark-gluon plasma (QGP) medium is necessary to generate a substantial  $v_2$ . On the other hand, significant interactions between charm quarks and the QGP would inevitably suppress high- $p_T$  charm hadrons. Therefore, it has been difficult to explain and understand simultaneously the  $D^0$  meson  $R_{pA}$  and  $v_2$  data.

Several theoretical studies have successfully reproduced either the heavy meson  $R_{pA}$  or the heavy meson  $v_2$ . For example, the POWLANG model [3] can successfully describe the  $R_{pA}$  of heavy flavors, but it predicts a small charm  $v_2$ . Perturbative QCD (pQCD) calculations that incorporate the cold nuclear matter effect can generally explain the data on charm  $R_{pA}$  [4], as another pQCD model that includes a parameterized  $k_T$  broadening. Regarding the elliptic flow of heavy flavors, the color glass condensate framework can reproduce the open and hidden charm meson  $v_2$  in  $p$ -Pb collisions at the LHC [5], suggesting the significance of initial state correlations for heavy quarks in small systems. However, a simultaneous description of both  $R_{pA}$  and  $v_2$  of heavy hadrons has not yet been achieved. Here we examine the  $R_{pA}$  and  $v_2$  of  $D^0$  mesons in  $p$ -Pb collisions at LHC energies using an improved version of a multi-phase transport (AMPT) model.

## 2. The improved AMPT model for this study

The AMPT model [6] is a Monte Carlo event generator that contains both partonic and hadronic phases in high energy heavy ion collisions. The string melting version, which we use for this study, mainly contains four parts: the fluctuated initial conditions, partonic scatterings, quark coalescence, and hadronic scatterings. Recently, we have improved the AMPT model with a new quark coalescence [7], incorporated modern parton distribution functions of the free proton and a spatially-dependent nuclear shadowing [8], improved heavy flavor productions [9], and applied local nuclear scaling of the two key input parameters for self-consistent dependence on the system size or centrality [10]. The AMPT model used in this study [11] includes the above improvements. Regarding heavy quarks in the AMPT model, we also made further improvements by isolating the initial heavy quarks from the string melting process, including the initial state Cronin effect, and implementing the independent fragmentation process.

Since the initial charm quarks are produced from hard scatterings, not from string fragmentation, the string melting process of the AMPT model should not apply to them. In this work, we separate the initial state charm quarks produced from the HIJING model so that they enter the parton cascade (without going through the string melting process) after a formation time  $\tau_f = E/m_T^2$ , where  $E$  and  $m_T$  represent the charm quark energy and transverse mass, respectively. We also distinguish the cross section among light quarks ( $\sigma_{LQ}$ ) from the cross section between a heavy quark and other quarks ( $\sigma_{HQ}$ ). Unless specified otherwise, the default values of  $\sigma_{LQ} = 0.5$  mb

and  $\sigma_{\text{HQ}} = 1.5$  mb are used; these values are determined by fitting the charged hadron  $v_2$  data in  $p$ -Pb collisions at 5.02 TeV and the  $D^0$  meson  $v_2$  data in  $p$ -Pb collisions at 8.16 TeV, respectively. Furthermore, in addition to the usual quark coalescence process, we have included the independent fragmentation as another hadronization channel for heavy quarks. When a heavy quark and its coalescing partner(s) have a large relative distance or a large invariant mass, they are deemed unsuitable for quark coalescence. In such cases, the heavy quark undergoes hadronization through the independent fragmentation [11].

In addition, we include the transverse momentum broadening, known as the Cronin effect, for the heavy quarks in the initial state (before they enter the parton cascade). The broadening is implemented by introducing a transverse momentum kick  $k_T$  to each  $c\bar{c}$  pair in the initial state. The value of  $k_T$  is randomly sampled from a two-dimensional Gaussian distribution characterized by a Gaussian width parameter  $w$ :

$$f(\vec{k}_T) = \frac{1}{\pi w^2} e^{-k_T^2/w^2}, \quad (1)$$

$$w = w_0 \sqrt{1 + (n_{\text{coll}} - i)\delta}. \quad (2)$$

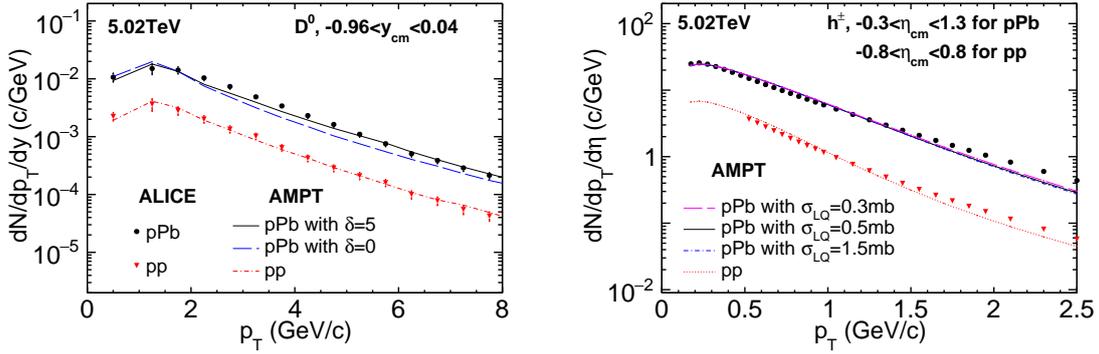
In the above,  $i = 1$  if the  $c\bar{c}$  pair is produced from the radiation of one participant nucleon,  $i = 2$  if the  $c\bar{c}$  pair is produced from the collision between one participant nucleon from the projectile and another from the target, while  $n_{\text{coll}}$  is the number of primary NN collisions of the participant nucleon for the former case and the sum of the numbers of primary NN collisions of both participant nucleons for the latter case. This way,  $w = w_0$  for  $p+p$  collisions. For  $w_0$ , we take the following parameterization [11] so that it depends on the Lund string fragmentation parameters  $a_L$  and  $b_L$  through the effective string tension [6]:

$$w_0 = (0.35 \text{ GeV}/c) \sqrt{\frac{b_L^0(2 + a_L^0)}{b_L(2 + a_L)}}. \quad (3)$$

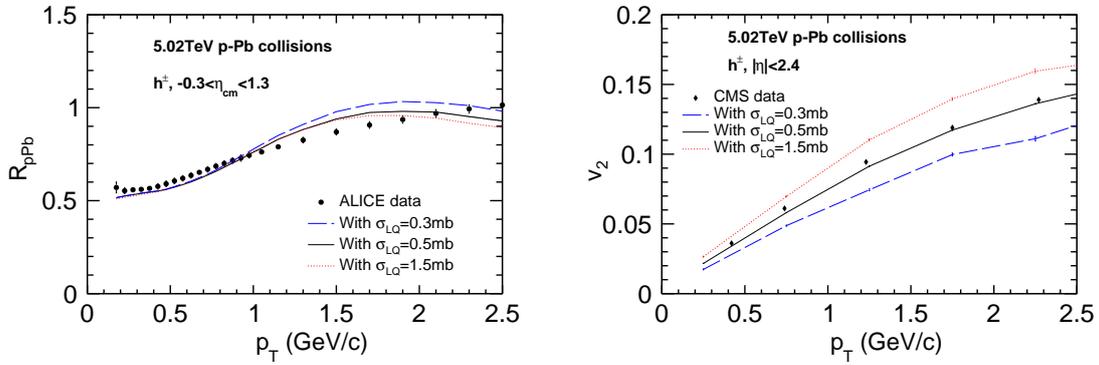
Details about the values of the Lund string fragmentation parameters in the above parameterization can be found in Ref. [11]. As a result, for  $p+p$  collisions,  $w = 0.375$  GeV/ $c$ . We note that the pQCD-based HVQMNR code [12] also employs a similar approach to implement the Cronin effect for charm quarks. While we apply the broadening to each  $c\bar{c}$  pair in the initial state, the HVQMNR code applies it to each charm (anti)quark. For comparisons, we have calculated the average  $k_T$  broadening to each charm quark or each  $c\bar{c}$  pair. For  $p+p$  collisions at 5.02 TeV, the HVQMNR code yields  $\langle k_T^2 \rangle = 1.46$  GeV<sup>2</sup> and 2.92 GeV<sup>2</sup> for a single charm quark and a  $c\bar{c}$  pair, respectively. These values are higher than our corresponding values of 0.04 GeV<sup>2</sup> and 0.14 GeV<sup>2</sup>. In the case of minimum bias  $p$ -Pb collisions at 5.02 TeV, the HVQMNR code gives  $\langle k_T^2 \rangle = 2.49$  GeV<sup>2</sup> and 4.97 GeV<sup>2</sup> for a single charm quark and a  $c\bar{c}$  pair, respectively, which are lower than our corresponding values of 3.27 GeV<sup>2</sup> and 13.0 GeV<sup>2</sup> (for  $\delta = 5$ ).

### 3. Results

The left and right panels of Fig. 1 show the transverse momentum spectra of  $D^0$  mesons and charged hadrons, respectively, in  $p + p$  and minimum bias  $p$ -Pb collisions at 5.02 TeV from the



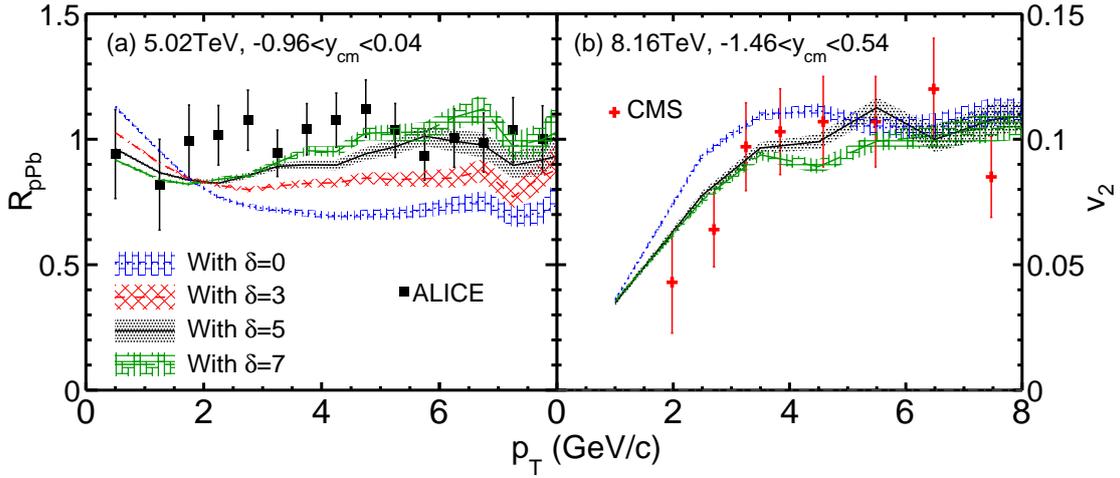
**Figure 1:** Left panel: AMPT model results on the transverse momentum spectra of  $D^0$  mesons at mid-rapidity in  $p + p$  collisions and minimum bias  $p$ -Pb collisions (with  $\delta = 5$  or  $0$ ) at 5.02 TeV in comparison with the experimental data. Right panel: transverse momentum spectra of charged hadrons around mid-rapidity in  $p + p$  collisions and  $p$ -Pb collisions at 5.02 TeV from the AMPT model in comparison with the experimental data. The AMPT spectra for  $p$ -Pb collisions are shown for three values of  $\sigma_{LQ}$ : 0.3, 0.5, and 1.5 mb.



**Figure 2:** Charged hadron  $R_{pPb}$  (left panel) in minimum bias  $p$ -Pb collisions at 5.02 TeV and  $v_2$  (right panel) in high multiplicity  $p$ -Pb collisions at 5.02 TeV from the AMPT model with  $\sigma_{LQ} = 0.3, 0.5,$  and  $1.5$  mb in comparison with the experimental data.

AMPT model in comparison with the experimental data. The improved AMPT model provides a good description of the experimental data for both collision systems. We find that the Cronin effect is very important for the  $D^0$  meson  $p_T$  spectra in  $p$ -Pb collisions, where the AMPT model without the Cronin effect (i.e., at  $\delta = 0$ ) underestimates the yield of  $D^0$  meson at relatively high  $p_T$ , while using  $\delta = 5$  leads to a significant enhancement of the  $D^0$  meson yield at relative high  $p_T$ . The effect of parton scatterings on the charged hadron  $p_T$  spectra is also investigated. We see that the parton scatterings will suppress the charged hadron yield at relative high  $p_T$  due to the parton energy loss or jet quenching.

In Fig. 2 we investigate the nuclear modification factor  $R_{pPb}$  and elliptic flow  $v_2$  of charged hadrons around mid-rapidity in  $p$ -Pb collisions at 5.02 TeV. The AMPT model can reasonably describe these observables up to  $p_T \sim 2$  GeV/ $c$ . Consistent with Fig. 1, we see that a larger parton cross section results in a lower (or a moderate reduction of) nuclear modification factor  $R_{pPb}$  for charged hadrons at relatively high  $p_T$ . On the other hand, a larger parton cross section leads to a substantial increase in the elliptic flow ( $v_2$ ) of charged hadrons.



**Figure 3:**  $D^0$  meson (a)  $R_{pPb}$  in minimum bias  $p$ -Pb collisions at 5.02 TeV and (b)  $v_2$  in high multiplicity  $p$ -Pb collisions at 8.16 TeV from the AMPT model with different strengths of the Cronin effect ( $\delta$ ) in comparison with the experimental data (symbols).

We now examine the nuclear modification factor ( $R_{pPb}$ ) of  $D^0$  mesons in  $p$ -Pb collisions at 5.02 TeV and their elliptic flow ( $v_2$ ) in  $p$ -Pb collisions at 8.16 TeV. Figure 3(a) compares the  $D^0$  meson  $R_{pPb}$  data with the model results for different strengths of the Cronin effect (via the  $\delta$  parameter). Figure 3(b) shows the  $v_2$  of  $D^0$  mesons from the AMPT model in comparison with the data. We see that the AMPT model with a strong Cronin effect (at  $\delta = 5$  or  $\delta = 7$ ) provides a reasonable description of both the  $R_{pA}$  and  $v_2$  observables. A large value of  $\delta$  or a strong Cronin effect is found to result in a significant enhancement of the  $D^0$  meson  $R_{pPb}$  at relatively high  $p_T$ , while it leads to a small decrease of the  $D^0$  meson  $v_2$ .

#### 4. Summary

We have studied the nuclear modification factor  $R_{pPb}$  of  $D^0$  mesons and charged hadrons in minimum bias  $p$ -Pb collisions as well as the elliptic flows  $v_2$  in high multiplicity  $p$ -Pb collisions at LHC energies with a multi-phase transport model. The model has been improved with the inclusion of transverse momentum broadening (i.e., the Cronin effect) and independent fragmentation for charm quarks. When invoking a strong Cronin effect, we are able to provide the first simultaneous description of both the  $R_{pPb}$  and  $v_2$  data of  $D^0$  mesons below the transverse momentum of 8 GeV/c. Our results show that both parton scatterings and the Cronin effect significantly affect the  $R_{pPb}$  of  $D^0$  mesons. On the other hand, the  $v_2$  of  $D^0$  mesons is primarily generated by parton scatterings, while the Cronin effect leads to a modest reduction of the charm  $v_2$ . In particular, we demonstrate that the Cronin effect could resolve the  $R_{pPb}$  and  $v_2$  puzzle of  $D^0$  mesons at LHC energies.

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