

The gradient flow at higher orders in perturbation theory

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Various results for higher-order perturbative calculations in the gradient-flow formalism are reviewed, including the gradient-flow beta function and the small-flow-time expansion of the hadronic vacuum polarization and the energy-momentum tensor. In addition, the *strategy of regions* is outlined in order to obtain systematic expansions of gradient-flow integrals, for example at large and small flow times.

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1. Introduction

Quantum Chromodynamics (QCD) is a theory with many different facets. So far, quantitative phenomenological results have been obtained mostly in either the strong-coupling regime using lattice regularizations or in the weak-coupling regime where perturbation theory is applicable. Both calculational approaches are highly evolved in themselves. Cross-fertilization is often hindered by the inherently different treatment of ultraviolet divergences in these two calculational approaches.

The gradient-flow formalism (GFF) may provide an excellent opportunity to change this situation. It represents a UV regularization scheme which can be implemented both on the lattice and in perturbation theory. This contribution reviews a number of concrete examples where such a cross-fertilization could be achieved, and where the perturbative calculations have been performed beyond next-to-leading order in perturbation theory. Furthermore, the application of the strategy of regions to gradient-flow integrals is presented, which allows to obtain systematic expansions in dimensionless parameters.

2. The perturbative gradient flow

In the GFF, one defines flowed fields $B_\mu^a = B_\mu^a(t)$ and $\chi = \chi(t)$ as solutions of the equations [1, 2] (see also [3, 4])

$$\begin{aligned}\partial_t B_\mu^a &= \mathcal{D}_\nu^{ab} G_{\nu\mu}^b + \kappa \mathcal{D}_\mu^{ab} \partial_\nu B_\nu^b, \\ \partial_t \chi &= \Delta \chi - \kappa \partial_\mu B_\mu^a T^a \chi, \\ \partial_t \bar{\chi} &= \bar{\chi} \overleftarrow{\Delta} + \kappa \bar{\chi} \partial_\mu B_\mu^a T^a.\end{aligned}\tag{1}$$

The initial conditions supplementing these differential equations establish the contact to regular QCD:

$$B_\mu^a(t=0) = A_\mu^a, \quad \chi(t=0) = \psi,\tag{2}$$

where A_μ^a and ψ are the regular gluon and quark fields, respectively, and

$$\begin{aligned}\mathcal{D}_\mu^{ab} &= \delta^{ab} \partial_\mu - f^{abc} B_\mu^c, & \Delta &= (\partial_\mu + B_\mu^a T^a)(\partial_\mu + B_\mu^b T^b), \\ G_{\mu\nu}^a &= \partial_\mu B_\nu^a - \partial_\nu B_\mu^a + f^{abc} B_\mu^b B_\nu^c.\end{aligned}\tag{3}$$

The arbitrary parameter κ will be set equal to one in the following.

Our practical implementation of the GFF in perturbation theory follows the strategy developed in Ref. [5]. It leads to Feynman rules resembling those of regular QCD, but supplemented by flow-time dependent exponentials in the propagators. In addition, the flow equations are reflected through so-called flow lines which couple to the flowed quarks and gluons via flowed vertices. The latter involve integrations over finite intervals of the flow-time variables.

A systematic method how to handle the corresponding Feynman diagrams and integrals through three-loop level has been introduced in Ref. [6]. It is based on `qgraf` [7] for the generation of Feynman diagrams, `FORM` [8–10] for the algebraic manipulation of the resulting amplitudes, `Kira+FireFly` [11–15] for the reduction of the Feynman integrals to master integrals, and `q2e/exp` [16] for interfacing all of these programs. The master integrals can be calculated by following the method outline in Ref. [17], for example.

3. Gluon condensate, quark condensates, and gradient-flow beta function

3.1 Gluon condensate and gradient-flow beta function

The first quantity considered at finite flow time was the gluon condensate in massless QCD [1]. Its perturbative expansion reads

$$\begin{aligned} \langle G_{\mu\nu}^a(t) G_{\mu\nu}^a(t) \rangle &= 3 \frac{\alpha_s(\mu)}{\pi t^2} \left[1 + \frac{\alpha_s(\mu)}{4\pi} (e_{10} + 4\beta_0 l_{\mu t}) \right. \\ &\quad \left. + \left(\frac{\alpha_s(\mu)}{4\pi} \right)^2 (e_{20} + 8(e_{10}\beta_0 + 2\beta_1) l_{\mu t} + 16\beta_0^2 l_{\mu t}^2) \right] + \dots \equiv 3 \frac{\bar{\alpha}_s(t)}{\pi t^2}, \end{aligned} \quad (4)$$

where $l_{\mu t} \equiv \ln 2\mu^2 t + \gamma_E$ with Euler's constant $\gamma_E = 0.577\dots$, and $\alpha_s(\mu)$ is the strong coupling in the $\overline{\text{MS}}$ scheme which obeys

$$\mu^2 \frac{d}{d\mu^2} \frac{\alpha_s(\mu)}{\pi} = \beta(\alpha_s(\mu)), \quad \text{with} \quad \beta(\alpha_s) = - \sum_{n=0}^{\infty} \left(\frac{\alpha_s}{\pi} \right)^{2+n} \beta_n. \quad (5)$$

In QCD, the first three coefficients of the β function in the $\overline{\text{MS}}$ scheme read

$$\begin{aligned} \beta_0 &= \frac{11}{4} - \frac{n_f}{6}, \quad \beta_1 = \frac{51}{8} - \frac{19}{24} n_f, \\ \beta_2 &= \frac{2857}{128} - \frac{5033}{1152} n_f + \frac{325}{3456} n_f^2 \approx 22.3 - 4.37 n_f + 0.094 n_f^2. \end{aligned} \quad (6)$$

Setting t to some multiple of μ^2 , i.e. $t = \mu^2/\rho$, acting with $\mu^2 d/d\mu^2$ on Eq. (4), and iteratively replacing α_s by $\bar{\alpha}_s$ according to Eq. (4), one finds the evolution of the gradient-flow coupling:

$$\mu^2 \frac{d}{d\mu^2} \frac{\bar{\alpha}_s(\mu)}{\pi} = \bar{\beta}(\bar{\alpha}_s(\mu)), \quad \text{with} \quad \bar{\beta}(\bar{\alpha}_s) = - \sum_{n=0}^{\infty} \left(\frac{\bar{\alpha}_s}{\pi} \right)^{2+n} \bar{\beta}_n. \quad (7)$$

The first two coefficients are universal, i.e. $\bar{\beta}_0 = \beta_0$ and $\bar{\beta}_1 = \beta_1$, while

$$\bar{\beta}_2 = \beta_2 - \frac{1}{4} e_{10} \beta_1 + \frac{1}{16} (e_{20} - e_{10}^2) \beta_0 = -59.1 - 0.536 n_f + 0.304 n_f^2 - 0.0030 n_f^3. \quad (8)$$

Note that the ρ -dependence drops out of the $\bar{\beta}$ function. The difference to the $\overline{\text{MS}}$ value of Eq. (6) is remarkable. It is illustrated in dependence of n_f in Fig. 1 (a). The impact on the QCD β function is shown in Fig. 1 (b). One immediately notices that the perturbative convergence is significantly worse in the gradient-flow scheme than in the $\overline{\text{MS}}$ scheme (see also Refs. [18–21]). It would be interesting to understand the source of this behavior in order to allow for a precise independent lattice determination of $\alpha_s(M_Z)$ through the GFF.

3.2 Quark mass effects

So far, we have considered the case of massless quarks. For the gluon condensate, quark mass effects occur only at next-to-leading order (NLO) through the single Feynman diagram shown in Fig. 2 (a). They can be taken into account quite easily by using the well-known one-loop expression

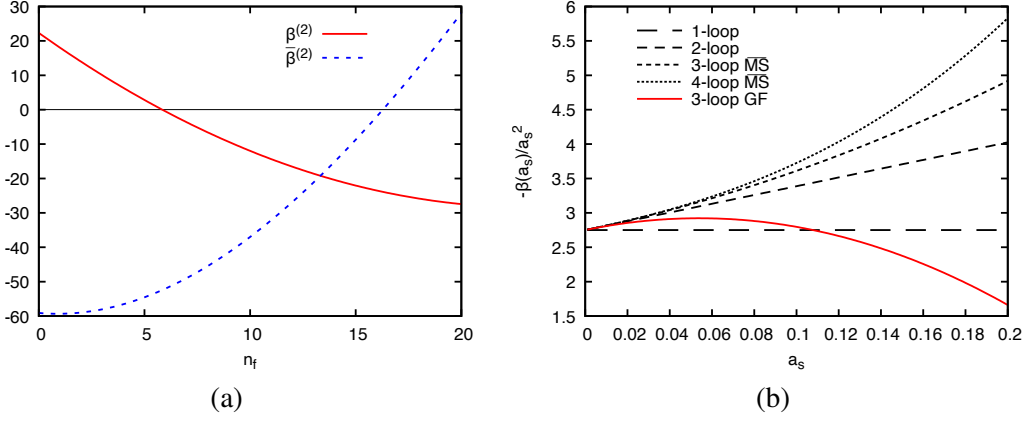


Figure 1: (a) Three-loop coefficient of the β function in the $\overline{\text{MS}}$ (solid-red) and the gradient-flow scheme (dashed-blue). (b) β function in the $\overline{\text{MS}}$ scheme and the gradient-flow scheme for $n_f = 0$ ($a_s \equiv \alpha_s/\pi$).

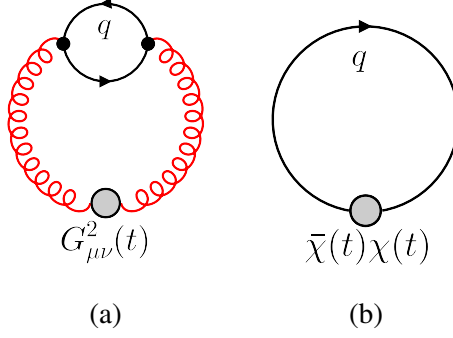


Figure 2: Leading-order contributions for the quark mass effects to the gluon and the quark condensate. Diagrams produced with FeynGame [22].

for the two-point function with external gluons. The result has been expressed in terms of a one-dimensional integral [17]. At higher orders in perturbation theory, approximate results of the mass effects could be obtained using the so-called *strategy of regions* [23]. To illustrate its application in the GFF, let us consider the simpler case of the quark condensate, where mass effects occur already at leading order (LO), see Fig. 2 (b). The exact mass dependence leads to an incomplete Γ function in this case [2]:

$$S(t) \equiv \langle \bar{\chi}(t)\chi(t) \rangle = -\frac{3m}{8\pi^2 t^2} f(m^2, t), \quad (9)$$

where

$$f(m^2, t) \equiv t \int_k \frac{e^{-tk^2}}{k^2 + m^2} = 1 - m^2 t e^{m^2 t} \Gamma(0, m^2 t), \quad \Gamma(s, x) = \int_x^\infty du u^{s-1} e^{-u}. \quad (10)$$

Assume that we would like to solve the momentum integral in Eq. (10) as an expansion around $m^2 \ll 1/t$. Obviously, simply interchanging the expansion with the integration, which corresponds

to assuming $k^2 \gg m^2$ in the integrand, leads to IR-divergent integrals:

$$\begin{aligned} f^{(i)}(m^2, t) &= t \sum_{n=1}^{\infty} (-m^2)^{n-1} \int_k \frac{e^{-tk^2}}{k^{2n}} = \sum_{n=1}^{\infty} (-m^2)^{n-1} t^{n-1+\epsilon} \frac{\Gamma(D/2-n)}{\Gamma(D/2)} \\ &= 1 + m^2 t \left(\frac{1}{\epsilon} + \ln t + 1 \right) e^{m^2 t} - (m^2 t)^2 - \frac{3}{4} (m^2 t)^2 + \dots \end{aligned} \quad (11)$$

On the other hand, considering the region $k^2 \sim m^2$, it follows that $tk^2 \ll 1$, so we can expand the exponential:

$$f^{(ii)}(m^2, t) = t \sum_{n=0}^{\infty} \frac{(-t)^n}{n!} \int_k \frac{k^{2n}}{k^2 + m^2} = m^2 t \left[-\frac{1}{\epsilon} - 1 + \gamma_E + \ln m^2 \right] e^{m^2 t}. \quad (12)$$

We recall that, despite the fact that the expansion of the integrand is justified only in the respective region, the momentum integral can be taken over all values of k , because all complementary regions will combine to scale-less integrals which are discarded in dimensional regularization. Combining the two regions, the $1/\epsilon$ pole cancels and one finds

$$\begin{aligned} f(m^2, t) &\stackrel{m^2 \ll 1/t}{\rightsquigarrow} f^{(i)}(m^2, t) + f^{(ii)}(m^2, t) = \\ &= 1 + m^2 t e^{m^2 t} (\ln m^2 t + \gamma_E) - (m^2 t)^2 - \frac{3}{4} (m^2 t)^3 + \mathcal{O}((m^2 t)^4), \end{aligned} \quad (13)$$

which agrees with the asymptotic expansion of the explicit expression given in Eq. (10).

Now let us consider the opposite case: $m^2 \gg 1/t$. We have again two regions, the first one leading to

$$f(m^2, t) \stackrel{m^2 \gg k^2}{\rightsquigarrow} \hat{f}^{(i)}(m^2, t) = t \sum_{n=0}^{\infty} \frac{(-1)^n}{m^{2(n+1)}} \int_k k^{2n} e^{-k^2 t} = \sum_{n=1}^{\infty} (-1)^{n+1} n! (m^2 t)^{-n}. \quad (14)$$

The second region is given again by $k^2 \sim m^2$, which means that $k^2 t \gg 1$. Its contribution vanishes, because the Taylor series of $e^{-1/x}$ around $x = 0$ is identical to zero. Therefore,

$$f(m^2, t) \stackrel{m^2 \gg 1/t}{\rightsquigarrow} \hat{f}^{(i)}(m^2, t) = \frac{1}{m^2 t} - \frac{2}{(m^2 t)^2} + \frac{6}{(m^2 t)^3} + \dots \quad (15)$$

which again agrees with the Taylor series of Eq. (10) around $1/(m^2 t) = 0$.

Of course, our presentation here is only a sketch of the general idea. At higher orders, one needs to take into account integrations over flow-time parameters. In the small- t limit, all flow-time integration variables are bound to be small as well, and the extension to higher loop order is straightforward. In the large- t limit, however, integration over flow-time parameters extends over “large” and “small” regions, and the expansion of the integrand becomes non-trivial. A general treatment of the strategy of regions for flow-time integrals at higher orders is thus ongoing work and will be presented elsewhere.

4. Hadronic vacuum polarization

Consider the operator product expansion of the correlator of two vector currents $j_\mu(x)$ in n_f -flavor QCD with a single massive quark flavor [24]:

$$\begin{aligned} \Pi_{\mu\nu}(Q) &\equiv \int d^4x e^{iQx} \langle T j_\mu(x) j_\nu(0) \rangle = (-\delta_{\mu\nu} + Q_\mu Q_\nu / Q^2) \Pi(Q^2) \\ \Pi(Q^2) &\stackrel{Q^2 \rightarrow \infty}{\sim} C^{(0),B}(Q) + m_B^2 C^{(2),B}(Q) + \sum_n C_n^B(Q) \langle \mathcal{O}_n(x=0) \rangle, \end{aligned} \quad (16)$$

This form reflects the fact that, up to mass dimension two, only the trivial operators $\mathbb{1}$ and $m_B^2 \mathbb{1}$ contribute, where m_B is the bare quark mass. At mass dimension four, one has the following set of physical operators (the space-time argument is suppressed in most of what follows):

$$O_1 = \frac{1}{g_B^2} F_{\mu\nu}^a F_{\mu\nu}^a, \quad O_2 = \sum_{q=1}^{n_f} \bar{\psi}_q \overleftrightarrow{D} \psi_q, \quad O_3 = m_B^4 \mathbb{1}, \quad (17)$$

$$\text{where } F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + f^{abc} A_\mu^b A_\nu^c, \quad \overleftrightarrow{D}_\mu = \partial_\mu - \overleftarrow{\partial}_\mu + 2A_\mu^a T^a. \quad (18)$$

After renormalization of m_B and the bare coupling g_B , the operator matrix elements on the r.h.s. of Eq. (16) are still divergent. The divergences can be absorbed into the bare coefficient functions with the help of operator renormalization:

$$\sum_n C_n^B \mathcal{O}_n \equiv \sum_n C_n Z_{nm} \mathcal{O}_m \equiv \sum_n C_n \mathcal{O}_m^R. \quad (19)$$

The fact that the classical mass dimension of the operators is the same as that of the Lagrangian allows one to express the renormalization matrix Z in terms of the QCD β function, the quark mass anomalous dimension, and the anomalous dimension of the vacuum energy to all orders [25].

The operator product expansion of Eq. (16) represents a factorization into long- and short-distance effects. The former are contained in the matrix elements $\langle \mathcal{O}_n \rangle$ and their evaluation requires non-perturbative methods such as lattice QCD. The latter are in the coefficient function whose perturbative expressions are known through next-to-next-to-leading order (NNLO) and beyond (see Refs. [26–28], for example). A precise prediction of $\Pi_{\mu\nu}(Q)$ requires full control of the matching between the two, which is notoriously difficult due to the different regularization and renormalization schemes.

The small-flow-time expansion (SFTX) provides a potential solution to this problem by unifying the renormalization scheme for both the coefficient functions and the operators [5]. The spectrum of possible applications is enormous (see Refs. [29–36], for example). The idea is to define flowed operators $\tilde{\mathcal{O}}_n(t)$ by replacing the regular by flowed fields in Eq. (17), and then expressing them in terms of regular operators in the limit $t \rightarrow 0$:

$$\tilde{\mathcal{O}}_n(t) \asymp \zeta_n^{(0),B}(t) + \zeta_n^{(2),B}(t) m_B^2 + \sum_m \zeta_{nm}^B(t) \mathcal{O}_m = \zeta_n^{(0)}(t) + \zeta_n^{(2)}(t) m^2 + \sum_{m,k} \zeta_{nk}(t) \mathcal{O}_k^R. \quad (20)$$

Here, the symbol \asymp denotes that the relation holds only asymptotically for $t \rightarrow 0$. The coefficients $\zeta_n^{(0)}(t)$, $\zeta_n^{(2)}(t)$, and $\zeta_{nm}(t)$ are UV finite. They have been calculated in Ref. [33] through NNLO

QCD. While the $\zeta_{nm}(t)$ depend only logarithmically on t , $\zeta_n^{(0)}(t)$ and $\zeta_n^{(2)}(t)$ behave as $1/t^2$ and $1/t$ as $t \rightarrow 0$. In fact, they simply correspond to the first two terms in the Taylor expansion of the vevs around $m = 0$:

$$\zeta_n^{(0)}(t) + \zeta_n^{(2)}(t)m^2 = \langle \mathcal{O}_n(t) \rangle \Big|_{m=0} + m^2 \frac{d}{dm^2} \langle \mathcal{O}_n(t) \rangle \Big|_{m=0}. \quad (21)$$

Inverting Eq. (20) and inserting it into Eq. (16) leads to

$$\Pi(Q) \stackrel{Q^2 \rightarrow \infty}{\sim} C^{(0),B}(Q) + m^2 C^{(2)}(Q) + \sum_n \tilde{C}_n(t) \bar{\mathcal{O}}_n(t), \quad (22)$$

$$\text{with} \quad \tilde{C}_n(t) = \sum_m C_m \zeta^{-1}(t)_{mn}, \quad \bar{\mathcal{O}}_n(t) = \bar{\mathcal{O}}_n(t) - \zeta_n^{(0)}(t) - m^2 \zeta_n^{(2)}(t). \quad (23)$$

Note that power divergences in the limit $t \rightarrow 0$ cancel in the combination $\bar{\mathcal{O}}_n(t)$. A precise lattice determination of the $\langle \bar{\mathcal{O}}(t) \rangle$ could thus open the way towards a novel calculation of the vacuum polarization, and thus independent input for the lattice determination of hadronic contributions to low-energy observables such as the muon anomalous magnetic moment.

5. Energy-momentum tensor

Dropping terms that vanish either under a BRST transformation or by equations of motion, the energy-momentum tensor of QCD takes the form of an operator product expansion similar to Eq. (16):

$$T_{\mu\nu} = \sum_{n=1}^4 C_n \mathcal{O}_{n,\mu\nu}, \quad (24)$$

$$\text{where} \quad \mathcal{O}_{1,\mu\nu} = \frac{1}{g_B^2} G_{\mu\rho} G_{\rho\nu}, \quad \mathcal{O}_{2,\mu\nu} = \frac{\delta_{\mu\nu}}{g_B^2} G_{\rho\sigma} G_{\rho\sigma}, \quad (25)$$

$$\mathcal{O}_3 = \bar{\psi} \left(\gamma_\mu \overleftrightarrow{D}_\nu + \gamma_\nu \overleftrightarrow{D}_\mu \right) \psi, \quad \mathcal{O}_4 = \delta_{\mu\nu} m_B \bar{\psi} \psi.$$

However, as opposed to Eq. (16), the ‘‘Wilson coefficients’’ C_n in this case are given by simple numerical constants to all orders in perturbation theory:

$$C_1 = 1, \quad C_2 = -\frac{1}{4}, \quad C_3 = \frac{1}{4}, \quad C_4 = 0. \quad (26)$$

Furthermore, due to the Ward-Takahashi identities among the Z_{nm} , the energy-momentum tensor is *finite*, in the sense that the coefficients C_n are not renormalized, i.e. $C_n = C_n^B$.

Using the SFTX, we write the operators as

$$\mathcal{O}_n \asymp \sum_{m=1}^4 \zeta^{B,-1}(t)_{nm} \tilde{\mathcal{O}}_m(t) \quad (27)$$

and insert this into Eq. (24) to obtain [29, 30]

$$T_{\mu\nu} \asymp \sum_{n=1}^4 c_n(t) \tilde{\mathcal{O}}_{n,\mu\nu}(t), \quad c_n(t) = \sum_{m=1}^4 C_m \zeta_{mn}^{B,-1}(t) = \sum_{m=1}^4 C_m \zeta_{mn}^{-1}(t). \quad (28)$$

The coefficients $c_n(t)$ are finite even without operator renormalization. They have been evaluated through NNLO [29, 30, 37] and used to study thermodynamics of QCD [32, 38–41].

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