

Solar Atmospheric Neutrino searches with the ANTARES neutrino telescope

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The interaction of cosmic-rays with the solar atmosphere can yield neutrinos as final state particles, the so-called Solar Atmospheric Neutrinos (SAs). Most of these neutrinos are absorbed in the interior of the Sun. Neutrinos produced in the solar corona towards the Earth would escape the Sun and reach the Earth. The detection of the solar atmospheric neutrinos would be important to determine the constituents of the primary cosmic rays and the solar composition. In addition, these neutrinos would represent an irreducible source of background for indirect solar dark matter searches. The deep-sea neutrino telescope ANTARES, located in the Mediterranean Sea, is well suited to perform this search. In this work, the results after the analysis of 11 years of ANTARES data is presented. No evidence for a solar atmospheric neutrino signal over the expected background is found. Results in terms of sensitivity and upper limits for different signal models are reported. The obtained upper limit at 90% CL in the solar atmospheric neutrino flux is 7×10^{-11} [TeV cm⁻² s⁻¹] at $E_\nu \sim 1$ TeV.

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1. Introduction

In their way to the Earth, some cosmic rays (CRs) are blocked by the Sun. The interaction of these blocked CRs with the solar atmosphere may yield neutrinos in all directions. Some of these neutrinos would be absorbed by the inner part of the Sun, but others, those produced in the corona, can escape the solar medium and reach the Earth. These Solar Atmospheric Neutrinos (SA ν s) would be an unavoidable background for dark matter (DM) indirect searches.

Besides the important fact that would be the understanding of the characteristics of this potential background source, the detection of these SA ν s can shed light on: the primary CR composition, the solar density and the parameters of neutrino oscillations [1, 2].

The mechanism to produce SA ν s is similar to that of the production of atmospheric terrestrial neutrinos but, being the solar atmosphere less dense than the terrestrial one, the unstable secondary particles produced by CR interactions are more likely to decay than to interact in the solar medium, making the expected SA ν flux to be a slightly above than the atmospheric terrestrial one. The overall SA ν s are produced in the outer part of the Sun since at a sufficiently large depth, almost every secondary CR would have decayed. Finally, the resulting neutrino¹ flux at production has an approximate flavour ratio of $\{\nu_e\} : \{\nu_\mu\} : \{\nu_\tau\} = 1 : 2 : 0$. However, the final neutrino flux at Earth, after oscillations, has a flavour ratio of $1 : 1 : 1$ [1].

2. The ANTARES Neutrino Telescope

The ANTARES detector [3] is anchored to the seabed at a depth of 2475 m in the Mediterranean Sea at (45° 45' N, 6° 10' E), 40 km offshore from Toulon. ANTARES deployed its first detection line in 2007 and was completed in 2008. Since then, it has been continuously taking data.

The ANTARES full configuration consists on 12 detection lines 450 m long distributed on an octagonal layout with an horizontal spacing of about 60-75 m. Each line houses 25 storeys, vertically spaced by about 14 m. The 12th line has 20 storeys completed with acoustic detection devices. Each storey hosts three optical modules (OMs), being the first one located 100 m above the sea-bed.

The OM [4] main component is a 10-inch hemispherical photomultiplier tube (PMT) glued in a pressure resistant glass sphere with optical gel. Each PMT is facing 45° downward, optimizing the detection of upward-going light from charged particles.

The photons detected in the PMTs induce a signal called *hit* [5]. The position, time and collected charge of the hits are used to reconstruct the direction and energy of each event. Different trigger algorithms [3] are responsible for signal and noise classification.

The trigger and data acquisition in an under sea neutrino telescope is affected by environmental changes, bioluminescence processes, sea current velocity changes and possibly malfunctioning detector elements. In order to correctly reproduce the detector response under these conditions, ANTARES simulates the atmospheric muons and neutrino interactions following a MC *run-by-run* strategy [6].

¹Here and in the following, the word neutrino refers to both ν and $\bar{\nu}$ unless otherwise specified

3. Analysis

3.1 Monte Carlo and Data sample

Neutrino induced events can be classified into two main groups: **track like** and **shower like** events. Track like events are produced by charged current (CC) ν_μ and ν_τ interactions. ν_μ CC interactions yield muons as final state particles. ν_τ CC interactions can produce final state muons through muonic decay of the final state particle τ . All neutral current (NC) reactions, as well as charged current reactions of ν_e and most ν_τ , produce shower like events. These showers are typically several meters long and therefore small compared to inter-OM distances.

In this work we have tested two different CR models as signal component: the *Hillas-Gaisser 3-generation model (H3a)* [7] and the *Gaisser-Stanev-Tilav 4-generation model (GST4)* [8]. Also, two different solar density profiles have been used: *Ser+Stein* [9] and the *Grevesse & Sauval* solar density profile, referred as *Ser+GS98* [10]. These models are included within the `solar_crnu` WIMPSim 5.0 package [11, 12]. Neutrino oscillations from best-fit values [13] and normal mass ordering are assumed. Three different source shapes have been considered: the Sun as a point source, as a filled disk and with ring shape. As a base-line case of study the *H3a* CR model with the *Ser+Stein* solar density profile has been chosen. Also, the Sun has been considered as a point source.

The two main background sources considered for this analysis are atmospheric muons and atmospheric neutrinos produced in the interactions of cosmic rays in the upper atmosphere.

In this analysis, the search for SA ν s is done through the track channel only (ν_μ CC) taking advantage of the excellent ANTARES angular resolution (0.4° at $E_\nu = 10$ TeV). Also, a region of interest (RoI) of 30° around the Sun is chosen. In order to optimize the search for SA ν signatures and reject the background, a selection of quality cuts ($\theta_{\text{zenith}}, \Lambda, \beta$) [14] is applied. Selecting only upward-going events in the detector $\theta_{\text{zenith}} > 90^\circ$, the background is reduced considerably because the atmospheric muons are stopped by the Earth. Cuts in the reconstruction fit parameter $\Lambda > -5.2$ and in the error estimate in the reconstructed angle $\beta < 1^\circ$ are also established to select the best possible reconstructed events in the sample.

3.2 Likelihood

In order to search for an excess of signal of solar atmospheric neutrinos, an unbinned likelihood method based on the Neyman [15] approach is used. The likelihood used for the analysis is

$$\mathcal{L}(n_{\text{sig}}) = e^{-(n_{\text{sig}}+n_{\text{bkg}})} \prod_i^N [n_{\text{sig}} \cdot \mathcal{S}(\Psi_{\odot,i}, \beta_i, E_i) + n_{\text{bkg}} \cdot \mathcal{B}(\Psi_{\odot,i}, \beta_i, E_i)], \quad (1)$$

where:

- \mathcal{S} and \mathcal{B} are the signal and background PDFs, respectively.
- n_{sig} is a free parameter to fit in the likelihood, and represents the number of signal events in the sample.
- n_{bkg} is the expected number of background events in the sample.

- N is the total number of reconstructed events within the RoI in the data taking period. It can be expressed as $N = n_{\text{sig}} + n_{\text{bkg}}$
- Ψ_{\odot} is the angular distance to the source.
- β is the error estimate in the reconstructed angle.
- E is the energy proxy.

The information characterising the signal and background events is contained in their corresponding PDFs, \mathcal{S} and \mathcal{B} respectively. In Fig. 1 the PDFs used in the likelihood maximisation process are shown. In these PDFs the angular distance between the direction of the reconstructed track and the position of the Sun (x -axis) is plotted as a function of the reconstructed energy of the event (y -axis). The logarithm of the probability for an event to have a certain energy and angular distance to the source is shown in the colorbar. The PDF on the left shows that the signal events are highly probable to be accumulated above $10^{2.5}$ GeV within a few degrees around the source, whilst background events are more uniformly distributed in angular distance but are more likely to have an energy between 10^2 and $10^{3.5}$ GeV.

The signal PDF is built from Monte Carlo simulations [6] and the events are weighted by the Solar Atmospheric Neutrino energy spectra [1], which is computed with the package `solar_crnu` included in WIMPSIM 5.0 [11, 12]. The background PDF is built from scrambled data. The ingredients of each PDF are: the angular distance to the source, Ψ_{\odot} ; the error estimate in the reconstructed angle, β ; and the energy proxy, E . The likelihood maximisation process runs over the number of reconstructed events ($N = n_{\text{sig}} + n_{\text{bkg}}$) within a region of interest (RoI) of 30° around the source. The output best-fit parameter of the likelihood is \hat{n}_{sig} .

The significance of the signal event is established by the test statistic TS (Eq. 2).

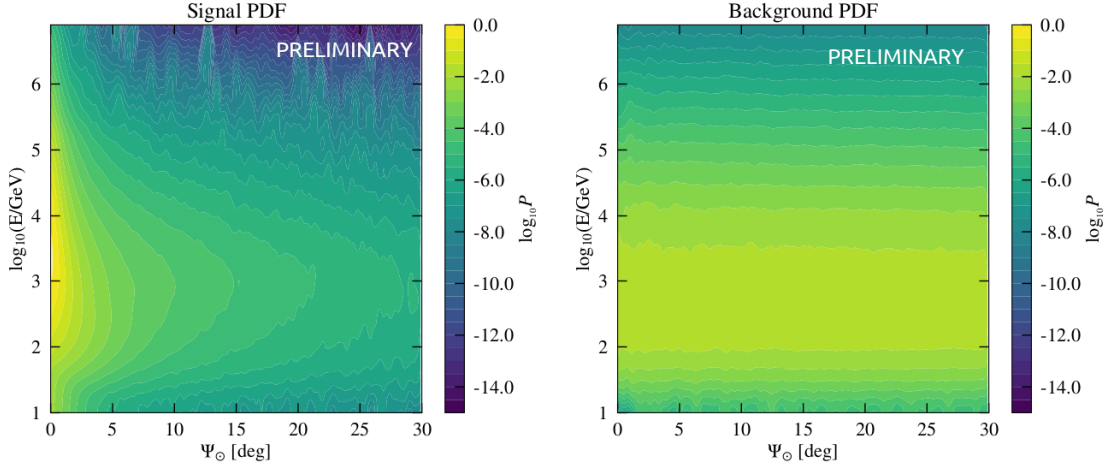


Figure 1: Signal (left) and background (right) PDFs used as inputs in the likelihood function. The angular distance between the direction of the reconstructed track and the position of the Sun (Ψ_{\odot}) is plotted as function of the reconstructed energy of the event. These PDFs are normalized per solid angle to unity.

$$\text{TS} = \log_{10} \left(\frac{\mathcal{L}(\hat{n}_{\text{sig}})}{\mathcal{L}(0)} \right). \quad (2)$$

The denominator corresponds to the likelihood of the *null* hypothesis case, for the background-only scenario. The numerator corresponds to the *alternative* hypothesis for the background + signal events in the sample, being \hat{n}_{sig} the best-fit parameter of Eq. 1.

The ANTARES quality cuts are chosen to optimize the sensitivity to the SA ν flux. Pseudo-experiments are conducted to build TS distributions. $O(10^4)$ pseudo-experiments have been performed for the *null* and the *alternative* hypotheses. Each pseudo-experiment consists of mock samples randomly sampled according to the PDF of a certain hypothesis.

In order to introduce the natural statistical fluctuations effect in the pseudo-experiments, each TS distribution is transformed through a Poisson function. Also, a 15% systematic uncertainty on the number of detected events is expected with ANTARES [16]. This effect is included folding each TS distribution with a Gaussian smearing of 15% width.

The resulting TS distributions are compared with the median of the background-only distribution to obtain the 90% confidence level (CL) sensitivity on the number of signal events. This sensitivity represents the minimum number of events $n_{\text{sig}}^{90\% \text{ CL}}$ needed for being able to discriminate from the pure background case, giving a false positive error less or equal than 10% of the times. According to Neyman, upper limits will be set equal to the sensitivity in case a value smaller than the background median is observed in the data.

In order to convert the number of signal events $n_{\text{sig}}^{90\% \text{ CL}}$ into a sensitivity to a SA ν flux, the following expression is used:

$$\frac{d\Phi_{\nu\mu}^{90\% \text{ CL}}(E)}{dE} = \frac{n_{\text{sig}}^{90\% \text{ CL}}}{\bar{n}_{\text{sig}}^{\text{theor}}} \frac{d\Phi_{\nu\mu}^{\text{theor}}(E)}{dE} = C_{90} \cdot \frac{d\Phi_{\nu\mu}^{\text{theor}}(E)}{dE}, \quad (3)$$

The first member of the equation stands for the flux upper limit/sensitivity. The second and third members of the equality contains the theoretical flux model multiplied by a scale factor C_{90} . This scale factor is defined as the ratio between $n_{\text{sig}}^{90\% \text{ CL}}$, and the expected number of signal events in ANTARES during the data taking period (T) for a given theoretical model. The expected number of signal events $\bar{n}_{\text{sig}}^{\text{theor}}$ is computed integrating the product of the theoretical model flux by the effective area of the detector (A^{eff}) over the energy range of interest (Eq. 4):

$$\bar{n}_{\text{sig}}^{\text{theor}} = T \int \sum_{l \in \nu_\nu, \bar{\nu}_\mu} \left(\frac{d\Phi_l^{\text{theor}}(E')}{dE} A_l^{\text{eff}}(E') \right) dE'. \quad (4)$$

4. Results

As mentioned in section 3.1, the base-line case is the combination of the cosmic ray model *H3a* [7] with the solar density profile *Ser+Stein* [9]. Neutrino oscillations and normal mass ordering, from best fits values, is assumed [1, 13]. The Sun is considered as a point source. Only ν_μ and $\bar{\nu}_\mu$ arriving at the detector are considered.

Figure 2 shows the event distribution, within the RoI of 30° from the Sun, for the data (dots) alongside with the expected signal distribution (blue histogram) and background (green histogram) events. The signal distribution has been magnified 100 times for comparative reasons. No excess in data over the expected background is observed.

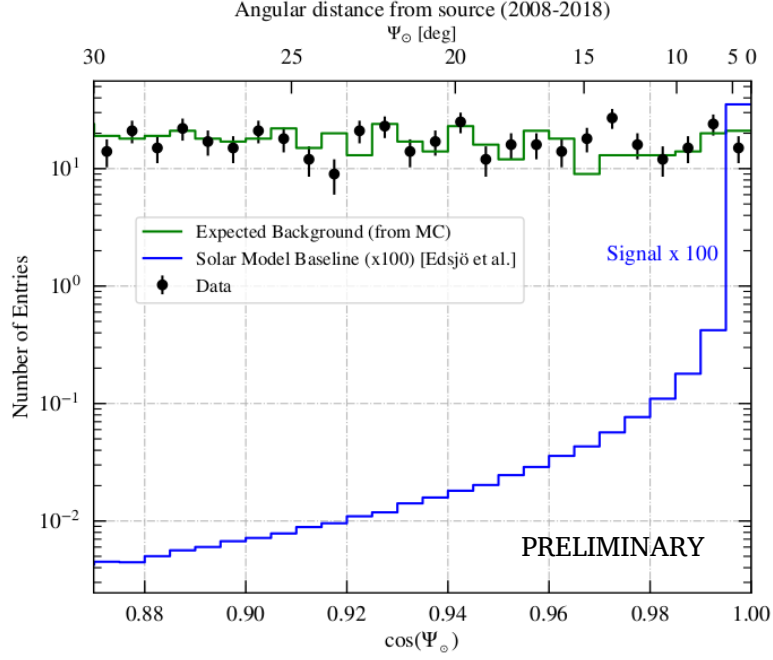


Figure 2: Event distribution as a function of the angular separation Ψ_{\odot} around the source. The expected signal, in blue, is scaled for visibility. The expected background (green histogram) is shown with the data.

The expected number of signal events for this model within the RoI in the 3022 days of lifetime is $\bar{n}_{\text{sig}}^{\text{theor}} \approx 0.366$. The expected number of background events is $\bar{n}_{\text{bkg}}^{\text{MC}} = 470$. The number of events in the data sample in the RoI is 461.

Model	Source Shape	$n_{\text{sig, sens}}^{90\% \text{ CL}}$	$n_{\text{sig, up-lim}}^{90\% \text{ CL}}$	$p\text{-val}$
<i>H3a-Ser+Stein</i> [7, 9]	Point Source	2.70	3.15	0.41
	Disk	2.80	3.25	0.43
	Ring	3.45	3.45	0.5

Table 1: Sensitivities and 90% CL upper-limits for the base-line Solar Atmospheric neutrino model, and for three different Sun shapes considered. The last column is the $p\text{-values}$ corresponding to the quoted upper limits.

After the data unblinding, the 90% CL upper limit obtained is $n_{\text{sig}}^{90\% \text{ CL}} = 3.15$, and the flux scale factor $C_{90} = 8.6$. The scale factor value tells us that in order to exclude the model, we would need a flux 8.6 times larger. The final sensitivity and upper-limit obtained for the *H3a-Ser+Stein* model is shown in Fig. 3 as a comparison with the theoretical flux model itself and with the Ice Cube latest result [17].

The *Gaisser-Stanev-Tilav 4-generation* cosmic ray model, referred as *GST4*, and the *Grevesse & Sauval* solar density profile, referred as *Ser+GS98*, which are already included within the `solar_crnu` package, have been tested and the results are within a 2% difference with respect to the results shown above.

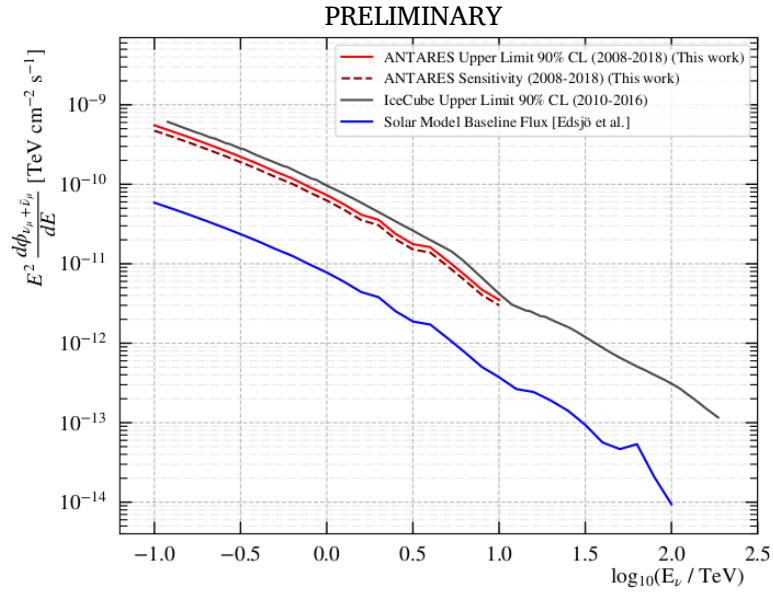


Figure 3: ANTARES upper limit (solid red) for 11 years of data, assuming the Sun as point like source for the base-line model $H3a-Ser+Stein$ (solid blue line). For comparison, the 11 years ANTARES sensitivity (red dashed) and Ice Cube upper limit for 6 years [17] (solid black) are also shown. The ANTARES 90% expected events falls within the plotted energy range.

5. Conclusion

An unbinned likelihood analysis has been performed with 11 years of ANTARES data. The total analysis lifetime is 3022 days. No signal evidence was observed, instead a 90% CL energy flux upper limit has been established to be about 7×10^{-11} [$\text{TeV cm}^{-2} \text{s}^{-1}$] at 1 TeV neutrino energy with a p-value 0.41.

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