

# Mass and Mean Velocity Dispersion Relations for Supermassive Black Holes in Galactic Bulges

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**Yu-Qing Lou**

*Physics Department and Tsinghua Center for Astrophysics, Tsinghua University, Beijing  
100084, China*

*E-mail: louyq@tsinghua.edu.cn*

**Yan-Fei Jiang \***

*Physics Department and Tsinghua Center for Astrophysics, Tsinghua University, Beijing  
100084, China*

*E-mail: jiangyanfei1986@gmail.com*

Growing evidence indicate supermassive black holes (SMBHs) in the mass range of  $M_{\text{BH}} \sim 10^6 - 10^{10} M_{\odot}$  lurking in central bulges of many galaxies [1, 2]. Extensive observations reveal fairly tight power laws of  $M_{\text{BH}}$  versus the mean stellar velocity dispersion  $\sigma$  of the host bulge [3, 4, 5]. The dynamic evolution of a bulge and the formation of a central SMBH should be physically linked by various observational clues. In this contribution, we reproduce the empirical  $M_{\text{BH}} - \sigma$  power laws based on a self-similar general polytropic quasi-static bulge evolution [6, 7] and a sensible criterion of forming a SMBH surrounding the central density singularity of a general singular polytropic sphere (SPS) [8]. Other properties of host bulges and central SMBHs are also examined. Based on our model, we discuss the intrinsic scatter of the  $M_{\text{BH}} - \sigma$  relation and a scenario for the evolution of SMBHs in different host bulges.

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\*Now at Department of Astrophysical Sciences, Princeton University, Princeton, NJ 08544 USA.

## Supermassive Black Holes and Galactic Host Bulges

SMBHs form at the centres of elliptical and spiral galaxies [10, 1, 2]. Observationally, SMBH masses  $M_{\text{BH}}$  correlate with various properties of spiral galaxy bulges or elliptical galaxies, including bulge luminosities [1, 12, 11], bulge masses  $M_{\text{bulge}}$  [12, 11, 13], galaxy light concentrations [14], the Sérsic index of surface brightness profile [15], inner core radii [16], bulge gravitational binding energies [17] and mean stellar velocity dispersions  $\sigma$  [18, 19, 5, 3, 4]. These correlations strongly suggest a dynamical link between SMBHs and their host bulges [21, 20].

Among these relations,  $M_{\text{BH}}$  and  $\sigma$  correlate tightly in power laws with an intrinsic scatter  $\lesssim 0.3$  dex [35]. This relation was studied [24, 23, 22] before systematic observations [18, 19] and the emphasis was on outflow effects of galaxies. The idea was further elaborated [25]. A model of singular isothermal sphere (SIS) with rotation [26] was proposed for the  $M_{\text{BH}} - \sigma$  relation. This relation was also explored in a semi-analytic model [27] with starbursts while SMBHs being formed and fueled during major mergers. Accretions of collisional dark matter onto SMBHs may also give the  $M_{\text{BH}} - \sigma$  relation [28] (see also ref. [29] for a review). There are also numerical simulations to model feedbacks from SMBHs and stars on host galaxies.

There are two empirical types of bulges, namely classical bulges (spiral galaxies with classical bulges or elliptical galaxies) and pseudobulges [30]. While SMBHs in classical bulges are formed after major mergers, pseudobulges do not show apparent merger signatures. Interestingly, pseudobulges also manifest a  $M_{\text{BH}} - \sigma$  power law yet with a different exponent [4].

The self-similar quasi-static solutions take the singular polytropic spheres (the static polytropic solutions) as the leading terms. This kind of dynamic solutions has been applied to study the compact stars in a single fluid model [6], galaxy clusters in a two-fluid model [7] and the so-called ‘‘champagne flows’’ in H II regions [9]. In the following, we shall take the quasi-static solutions in a single fluid model to describe host bulges with central SMBHs after long time evolution [8].

### A Self-Similar Dynamic Model for $M_{\text{BH}} - \sigma$ Power Laws

For the dynamic evolution of a galactic bulge, we adopt a few assumptions. First, we treat the stellar bulge as a spherical polytropic fluid as the typical age  $\sim 10^9$  yr of galactic bulges is long [31, 32] that they are continuously adjusted. Stellar velocity dispersions produce an effective pressure  $P$  against the self-gravity as in the Jeans equation [33]. Secondly, the total mass of the interstellar medium in a galaxy [32] is  $\sim 10^7 - 10^8 M_{\odot}$  only  $10^{-2} \sim 10^{-3}$  of the total bulge mass. Although gas densities in broad and narrow line regions of AGNs are high, the filling factor [34] is small ( $\sim 10^{-3}$ ) and the gas there may be regarded as condensed clouds. Thus gas is merged into our stellar fluid. Thirdly, the diameter of broad line regions of AGNs [34] is only  $\sim 0.1$  pc and the disk around a SMBH is even smaller while a galactic bulge size is  $\gtrsim 1$  kpc. We thus ignore small-scale structures around the central SMBH of a spherical bulge. Finally, as the rotation curves of galaxies show [33], the effect of dark matter halo in the innermost region (around several kpcs) of a galaxy can be neglected. So we do not include the dark matter in our model when we discuss the dynamic characters of the bulges.

Hydrodynamic equations of our model are conservations of mass, radial momentum [6] and ‘specific entropy’ along streamlines [40, 38, 7]. As bulk flow of stellar fluid is slow, we invoke the

novel self-similar quasi-static solutions [6, 7] to model the bulge evolution. We use a self-similar transformation [6, 38, 7] to solve general polytropic fluid equations, namely

$$\begin{aligned} r &= K^{1/2}t^n x, \quad \rho = \alpha(x)/(4\pi Gt^2), \quad u = K^{1/2}t^{n-1}v(x), \\ P &= Kt^{2n-4}\beta(x)/(4\pi G), \quad M = K^{3/2}t^{3n-2}m(x)/[(3n-2)G], \end{aligned} \quad (1)$$

where  $r$  is the radius and  $t$  is time;  $x$  is the independent dimensionless similarity variable while  $K$  and  $n$  are two scaling indices;  $G = 6.67 \times 10^{-8} \text{ g}^{-1}\text{cm}^3\text{s}^{-2}$  is the gravity constant;  $\rho(r, t)$  is the mass density and  $\alpha(x)$  is the reduced mass density;  $u(r, t)$  is the radial flow speed and  $v(x)$  is the reduced flow speed;  $P(r, t)$  is the effective pressure and  $\beta(x)$  is the reduced pressure;  $M(r, t)$  is the enclosed mass and  $m(x)$  is the reduced enclosed mass; reduced variables  $\alpha$ ,  $\beta$ ,  $v$  and  $m$  are functions of  $x$  only. We require  $n > 2/3$  for a positive mass [6, 7, 38].

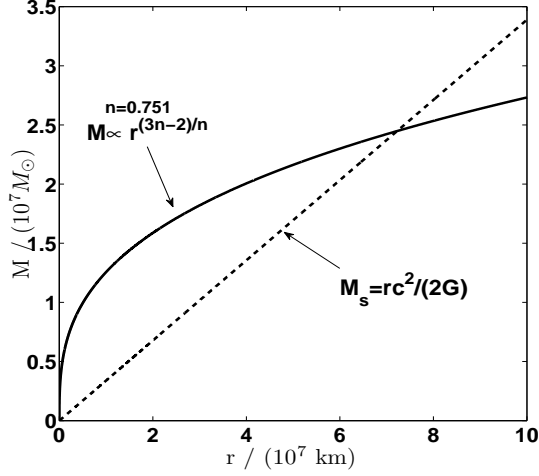
By self-similar transformation (1), we readily construct self-similar quasi-static solutions from general polytropic fluid equations, taking the static singular polytropic sphere (SPS) as the leading term. Properties of such asymptotic solutions to the leading order [6, 7] are summarized below. Both initial and eventual mass density profiles scale as  $\sim r^{-2/n}$ ; accordingly, the bulge enclosed mass profile is  $M \propto r^{(3n-2)/n}$ ; for either  $x \rightarrow 0^+$  or  $x \rightarrow +\infty$ , the reduced velocity  $v \rightarrow 0$ , which means at a time  $t$ , for either  $r \rightarrow 0^+$  or  $r \rightarrow +\infty$  the flow speed  $u \rightarrow 0$ , or at a radius  $r$ , when  $t$  is short or long enough, the radial flow speed  $u \rightarrow 0$ . Our model [8] describes a self-similar bulge evolution towards a nearly static configuration after a long time lapse, appropriate for galactic bulges at present epoch.

As the effective pressure  $P$  results from stellar velocity dispersions in the bulge, we readily derive the mean velocity dispersion  $\sigma$  in a bulge. By specific entropy conservation along streamlines, we relate  $P$  with  $\rho$  and  $M$  and derive the  $P$  profile from our quasi-static solutions [40, 7]. We take the local stellar velocity dispersion as  $\sigma_L(r, t) = (\gamma P/\rho)^{1/2}$  where  $\gamma$  is the polytropic index of our stellar fluid. To compare with observations, we derive the spatially averaged stellar velocity dispersion  $\sigma$  in the bulge. The bulge boundary is taken as the radius  $r_c$  where  $\rho$  drops to a value  $\rho_c$  indistinguishable from the environment. For a class of bulges with same  $n$ ,  $\rho_c$  is regarded as a constant for a class of environments. One can show that within  $r_c$

$$\sigma = [3n^{1+q/2}\gamma^{1/2}/(4n-1)](4\pi G\rho_c)^{(1-n)/2}A^{3nq/4}K^{1/2} \equiv \mathcal{Q}K^{1/2}, \quad (2)$$

where  $q \equiv 2(n+\gamma-2)/(3n-2)$  and  $A \equiv \{n^{2-q}/[2(2-n)(3n-2)]\}^{-1/(n-3nq/2)}$ .

A SMBH forms at the centre of a galactic bulge that evolves in a self-similar quasi-static manner. Such a SMBH was formed by the collapse of collections of stars and gas towards the bulge centre and grows rapidly by matter accretions at an earlier phase [10]. As the growth timescale for SMBHs is only  $\sim 10^5$  yr, our quasi-static solutions describe the relatively quiescent phase of galactic bulges after the formation of central SMBHs as a longer history of a bulge evolution. The stellar fluid made up of stars and condensed gas clouds has a slow bulk flow speed towards the central SMBH, sustaining a reservoir of mass accretion for the circumnuclear torus and/or disk. We now introduce the criterion of forming a SMBH. A SMBH mass  $M_{\text{BH}}$  and its Schwarzschild radius  $r_s$  are related by  $M_{\text{BH}} = r_s c^2/(2G)$  where  $c$  is the speed of light. As we have pointed out, the bulge enclosed mass is  $M \propto r^{(3n-2)/n}$ . If at a radius  $r_s$ ,  $M$  becomes  $M = r_s c^2/(2G)$ , then a SMBH forms. Only those quasi-static bulges with  $n < 1$  can form central SMBHs (see Figure 1);



**Figure 1:** The criterion of forming a central SMBH in a self-similar quasi-static bulge evolution. The enclosed mass power-law is  $M \propto r^{0.337}$  with  $n = 0.751$  (solid curve). Meanwhile, we draw a straight dashed line  $M_s = rc^2/(2G)$  for the mass of SMBH versus the Schwarzschild radius  $r$ . Here at  $r_s = 7.2 \times 10^7$  km, the straight line intersects the enclosed mass curve for a  $2.45 \times 10^7 M_\odot$  SMBH. A self-similar general polytropic quasi-static solution of  $n < 1$  can form a SMBH at the bulge centre by invoking this criterion.

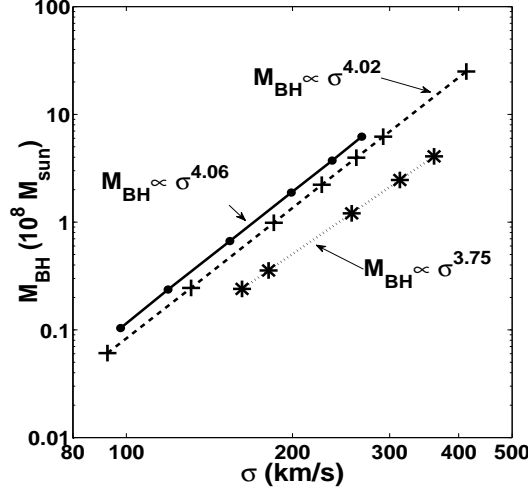
we further derive  $M_{\text{BH}} \propto r_s \propto K^{1/(2-2n)}$  and the power law below

$$M_{\text{BH}} = \left( \frac{nA}{3n-2} \right)^{n/(2-2n)} \left( \frac{2}{c^2} \right)^{3n-2/(2-2n)} \frac{\mathcal{L}^{1/(n-1)}}{G} \sigma^{1/(1-n)} \equiv \mathcal{L} \sigma^{1/(1-n)}, \quad (3)$$

where  $\mathcal{L}$  depends on  $c$ ,  $G$ ,  $n$ ,  $\gamma$ ,  $\rho_c$ , and the exponent  $1/(1-n) > 3$  as  $2/3 < n < 1$  is required.

While the  $M_{\text{BH}} - \sigma$  power law is very tight with intrinsic scatter  $\leq 0.3$  dex for SMBHs and host bulges [35], such scatter is large enough for different fitting parameters [18, 19, 5]. By equation (3), we have a natural interpretation for intrinsic scatter in the observed  $M_{\text{BH}} - \sigma$  power law. In our model, all bulges with the same  $n$  lie on a straight line with the exponent  $1/(1-n)$  as Figure 2 shows. For a fixed  $n$ , different bulges are represented by different  $K$  values in transformation (1), leading to different  $M_{\text{BH}}$  and  $\sigma$ . However, for bulges with different  $n$  values, they lie on different lines. For elliptical galaxies or bulges in spiral galaxies, they appear to eventually take the self-similar evolution described above with a certain  $n$  value. But pseudobulges may take on different  $n$  values. Observationally, we cannot determine a priori the specific  $n$  value for a bulge but simply attempt to fit all bulges with a single exponent, which then contributes in part to intrinsic scatter in the observed  $M_{\text{BH}} - \sigma$  power law.

To show this, we fit three published  $M_{\text{BH}} - \sigma$  power laws in Figure 2. The first one is  $M_{\text{BH}} = 1.2 \times 10^8 M_\odot (\sigma/200 \text{ km s}^{-1})^{3.75}$  given in ref. [19] with our parameters  $\{n, \gamma, \rho_c\}$  being  $\{0.733, 1.327, 0.47 M_\odot \text{pc}^{-3}\}$ ; and the five points correspond to  $K = \{0.8, 1, 2, 3, 4\} \times 10^{23}$  cgs unit. The second one is  $\log(M_{\text{BH}}/M_\odot) = 8.13 + 4.02 \log(\sigma/200 \text{ km s}^{-1})$  given in ref. [5] with our parameters  $\{n, \gamma, \rho_c\}$  being  $\{0.7512, 1.33, 0.0122 M_\odot \text{pc}^{-3}\}$ ; and the seven points correspond to  $K = \{1, 2, 4, 6, 8, 10, 20\} \times 10^{22}$  cgs unit. The third one is  $\log(M_{\text{BH}}/M_\odot) = 8.28 +$



**Figure 2:** Power-law  $M_{\text{BH}} - \sigma$  relations according to our general polytropic model for quasi-static self-similar evolution. Three index values  $n = 0.7537, 0.7512, 0.7333$  are adopted for three different kinds (solid [4], dashed [5], dotted lines [19]) of  $M_{\text{BH}} - \sigma$  power laws given by equation (3). Given  $n$ , we calculate the SMBH mass  $M_{\text{BH}}$  and the mean stellar velocity dispersion  $\sigma$  for a certain  $K$  value in transformation (1). A bulge has different  $\sigma$  for different  $K$ . Each bulge-SMBH system is represented by a point in this display. All systems of same  $n$  give a straight line, while systems of different  $n$  correspond to different straight lines here.

$4.06 \log(\sigma/200 \text{ km s}^{-1})$  given in ref. [4] with our parameters  $\{n, \gamma, \rho_c\}$  being  $\{0.7537, 1.332, 0.00364 M_{\odot} \text{pc}^{-3}\}$ ; and the six points correspond to  $K = \{0.6, 0.9, 1.5, 2.5, 3.5, 4.5\} \times 10^{23}$  cgs unit. Clearly, to fit all these points in Figure 2 with a single power law, we would get a different result with higher intrinsic scatter. In the three fitting examples, bulge inflow speeds of stellar fluid are slow ( $\sim 0.1 - 1 \text{ km s}^{-1}$ ), a feature of our self-similar quasi-static solutions. Near the SMBH boundary  $r_s$ , the inflow rest mass-energy flux falls in the range of  $10^{40} - 10^{45} \text{ erg s}^{-1}$  in these examples, sufficient to supply the observed X-ray luminosities [36]. There can be outgoing accretion shocks around a SMBH in these flows. As the age of galactic bulges [31] is so long ( $\sim 10^9 \text{ yr}$ ) that such shocks should have gone outside bulges.

Besides the  $M_{\text{BH}} - \sigma$  relation, observations reveal  $M_{\text{BH}} \propto M_{\text{bulge}}^{1.12}$  with  $M_{\text{bulge}}$  being the bulge mass [13] and  $M_{\text{BH}} \propto E_g^{0.6}$  with  $E_g$  being the absolute value of the bulge gravitational binding energy [17]. Using our criterion of forming a SMBH and the bulge radius  $r_c$ , we derive a power law between  $M_{\text{BH}}$  and  $M_{\text{bulge}}$  as  $M_{\text{BH}} \propto M_{\text{bulge}}^{1/(3-3n)}$ . For  $n = 0.75$ , our result leads to relations in ref. [26] but for a nonisothermal general SPS. The bulge gravitational binding energy, without contributions from dark matter halo and a disk [17], is  $E_g \approx \int_0^{r_c} GM\rho 4\pi r dr$ . For self-similar quasi-static solutions, we obtain  $M_{\text{BH}} \propto E_g^{1/(5-5n)}$ .

As another class of bulges, pseudobulges are believed to have formed without merging in contrast to classical bulges. Nonetheless, pseudobulges follow a  $M_{\text{BH}} - \sigma$  power law but with a different exponent [37, 4]. In ref. [4], this  $M_{\text{BH}} - \sigma$  relation is found to be  $\log(M_{\text{BH}}/M_{\odot}) = 7.5 + 4.5 \log(\sigma/200 \text{ km s}^{-1})$ . This conclusion is natural according to our model in that pseudobulges

may take a different self-similar quasi-static evolution for a different  $n$  value. Due to their different formation history, they show a different  $M_{\text{BH}} - \sigma$  power law as observed. For  $\{n, \gamma, \rho_c\}$  being  $\{0.7778, 1.34, 0.000426 M_{\odot}\text{pc}^{-3}\}$ , our model can also fit this power law.

## Conclusions and Discussion

The tight  $M_{\text{BH}} - \sigma$  power laws and other relations among the SMBH mass  $M_{\text{BH}}$  and known properties of host bulges strongly suggest coeval growths of SMBHs and galactic bulges [39, 29, 27]. In our model, while forming a Schwarzschild SMBH at the bulge centre (e.g., by collapse of gas and stars or by merging), the spherical general polytropic bulge evolves in a self-similar quasi-static phase for a long time. We then reproduce empirical  $M_{\text{BH}} - \sigma$  power laws. Different energetic processes appear to give rise to different scaling index  $n$  values.

Besides classical bulges and pseudobulges, there are also ‘core’ elliptical galaxies, thought to have formed by ‘dry’ mergers. A steeper  $M_{\text{BH}} - \sigma$  relation exists in these galaxies as compared to that for classical bulges [16]. This can be accommodated in our scenario that all hosts of SMBHs may finally evolve into self-similar quasi-static phase with different scaling parameters (e.g., different  $n$  for the slope and different  $\rho_c$  for the normalization of the  $M_{\text{BH}} - \sigma$  relation). We thus provide a unified framework to model the relatively quiescent evolution phase of SMBH host bulges and SMBH masses. As the observed  $M_{\text{BH}} - \sigma$  relation for classical bulges is tight, the elliptical galaxies and spiral galaxies appear to take close  $n$  values for merging processes.

In our model,  $n$  is a key scaling index to determine the exponent of the  $M_{\text{BH}} - \sigma$  power law. The smaller the value of  $n$  is, the steeper the profile of the density is and the smaller the index of the  $M_{\text{BH}} - \sigma$  relation is. If we think the SMBHs are formed by collapse of stars and gas and a less steeper density distribution may provide a more effective mechanism to form SMBHs, then we conclude that for a certain value of velocity dispersions, the smaller the mass of the initially formed SMBH is, the smaller the value of  $n$  is.

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