

## The Boer-Mulders Function: Models and Universality

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The sign of the Boer-Mulders function  $h_1^\perp$  is related to the sign of the GPD  $\bar{E}_T$  through the mechanism of chromodynamic lensing. Model calculations of the sign of  $\bar{E}_T$  indicate that the sign of  $h_1^\perp$  may be the same in all ground state hadrons.

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## 1. The Boer-Mulders Function and $\bar{E}_T$

In momentum space, the distribution of polarized quarks in an unpolarized target is given by the expression

$$f_{q\uparrow/p} = \frac{1}{2} \left[ f_1^q(x, k_T^2) - h_1^{\perp q}(x, k_T^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_T) \cdot \mathbf{S}_q}{M} \right], \quad (1.1)$$

where  $S_q$  is the quark spin, and  $h_1^{\perp}(x, k_T^2)$ , the Boer-Mulders function, describes a momentum space asymmetry. In the Trento conventions [1], for a target approaching the observer and a positive  $h_1^{\perp}(x, k_T^2)$ , spin up quarks preferentially move towards the left.

A similar expression can be written down in position space by making use of impact parameter dependent parton distributions,

$$F_s^q(x, \mathbf{b}) = \frac{1}{2} \left[ \mathcal{H}(x, \mathbf{b}^2) - S_q^i b^j \varepsilon^{ij} \frac{1}{M} \left( \mathcal{E}'_T(x, \mathbf{b}^2) + 2\widetilde{\mathcal{H}}'_T(x, \mathbf{b}^2) \right) \right], \quad (1.2)$$

where script letters denote the Fourier transforms of GPDs. It is convenient to define the quantity  $\bar{\mathcal{E}}_T(x, \mathbf{b}^2) = \mathcal{E}'_T(x, \mathbf{b}^2) + 2\widetilde{\mathcal{H}}'_T(x, \mathbf{b}^2)$ , which describes a sideways shift in the position of polarized quarks in an unpolarized hadron [2]. While the Boer-Mulders function requires a final state interaction to exist,  $\bar{\mathcal{E}}_T(x, \mathbf{b}^2)$  is an intrinsic property of hadrons. However,  $\bar{\mathcal{E}}_T(x, \mathbf{b}^2)$  is the position space analogue of  $h_1^{\perp}(x, k_T^2)$  in the sense that the signs of the functions are negatively correlated through the mechanism of chromodynamic lensing [3], which transforms position space asymmetries into momentum space asymmetries through attractive final state interactions.

Model calculations indicate that the sign of the Boer-Mulders function is likely the same in all ground state hadrons [4]. In order to explore this, one would like to perform model calculations of the sign of  $h_1^{\perp}(x, k_T^2)$ . However, it is often more straightforward to calculate the sign of  $\bar{\mathcal{E}}_T(x, \mathbf{b}^2)$  in position space, and then employ chromodynamic lensing to infer the sign of  $h_1^{\perp}(x, k_T^2)$ .

## 2. $\bar{\mathcal{E}}_T(x, \mathbf{b}^2)$ in the Bag model

As a general Bag model wave function, take the Dirac spinor

$$\Psi_m = \begin{pmatrix} if\chi_m \\ -g(\vec{\sigma} \cdot \hat{x})\chi_m \end{pmatrix}, \quad (2.1)$$

where  $f$  is a monotonically decreasing radial function,  $g$  is the derivative of  $f$ , as required by the free Dirac equation, and  $\chi_m$  is a Pauli spinor.

The impact parameter dependent parton distributions that we would like to evaluate are of the form

$$F_{\Gamma}(x, \mathbf{b}_{\perp}) = \mathcal{N}^{-1} \int \frac{dz^-}{4\pi} e^{ixp^+z^-} \langle p^+, \mathbf{0}_{\perp} | \bar{q}(0, \mathbf{b}_{\perp}) \Gamma q(z^-, \mathbf{b}_{\perp}) | p^+, \mathbf{0}_{\perp} \rangle. \quad (2.2)$$

Complications arising from computing light-like correlation functions in the Bag model can be avoided by studying the lowest moment of the GPDs,

$$\int dx F_{\Gamma}(x, \mathbf{b}_{\perp}) = \text{const.} \int dx^3 \langle \vec{\mathbf{0}} | \bar{q}(x^3, \mathbf{b}_{\perp}) \Gamma q(x^3, \mathbf{b}_{\perp}) | \vec{\mathbf{0}} \rangle, \quad (2.3)$$

Translational invariance has been used to localize the states in Eq. (2.3) to the origin.

Quarks with transverse polarization  $\mathbf{s}$  are projected out by the operator  $\frac{1}{2}\bar{q}[\gamma^+ - s^j i\sigma^{+j}\gamma_5]q$  [2] and therefore the vector field representing the transverse quark polarization density is given by  $-i\bar{q}\sigma^{+j}\gamma_5 q$ . We thus consider impact parameter dependent PDFs with  $\Gamma = -i\sigma^{+j}\gamma_5$ , which are related to the Fourier transforms of the chirally odd GPDs  $\bar{E}_T$ ,  $H_T$  and  $\tilde{H}_T$  [2]

$$F_T^i = -\varepsilon^{ij}b^j \frac{1}{M} \bar{\mathcal{E}}_T^i + S^i \left( \mathcal{H}_T - \frac{1}{4M^2} \Delta_b \tilde{\mathcal{H}}_T \right) + (2b^i b^j - b^2 \delta^{ij}) S^j \frac{1}{M^2} \tilde{\mathcal{H}}_T'', \quad (2.4)$$

where  $S^j$  is the spin of the target. Only the term involving  $\bar{\mathcal{E}}_T$  contributes for an unpolarized target, which is why it is only  $\bar{E}_T$  that is expected to be related to the Boer-Mulders function. The term can be extracted by considering the density corresponding to  $\Gamma = -i\sigma^{+j}\gamma_5$  and summing over the target spin. For a single quark 'hadron', where the hadron spin polarization is the same as the quark total angular momentum, this procedure yields

$$\begin{aligned} & \sum_m \langle PS_m | \bar{\Psi}(x^3, \mathbf{b}_\perp) i\sigma^{+i}\gamma_5 \Psi(x^3, \mathbf{b}_\perp) | PS_m \rangle \\ &= \sum_m \bar{\Psi}_m(x^3, \mathbf{b}_\perp) i\sigma^{+i}\gamma_5 \Psi_m(x^3, \mathbf{b}_\perp) \\ &= -\frac{1}{\sqrt{2}} \sum_m (f^2 + g^2) S_m^i + 2fg\varepsilon^{ij} \hat{b}_\perp^j - 2g^2 \hat{b}_\perp^i (\hat{b}_\perp \cdot \vec{S}_m) \end{aligned} \quad (2.5)$$

where  $\vec{S}_m$  is the spin vector corresponding to the Pauli spinor  $\chi_m$ . The first and last terms of (2.5) do not survive the sum over 'target' polarizations. The asymmetry is given entirely by the middle term, which is an interference between the upper and lower components of Eq. (2.1). For the lowest moment of  $\bar{\mathcal{E}}_T$ , we have

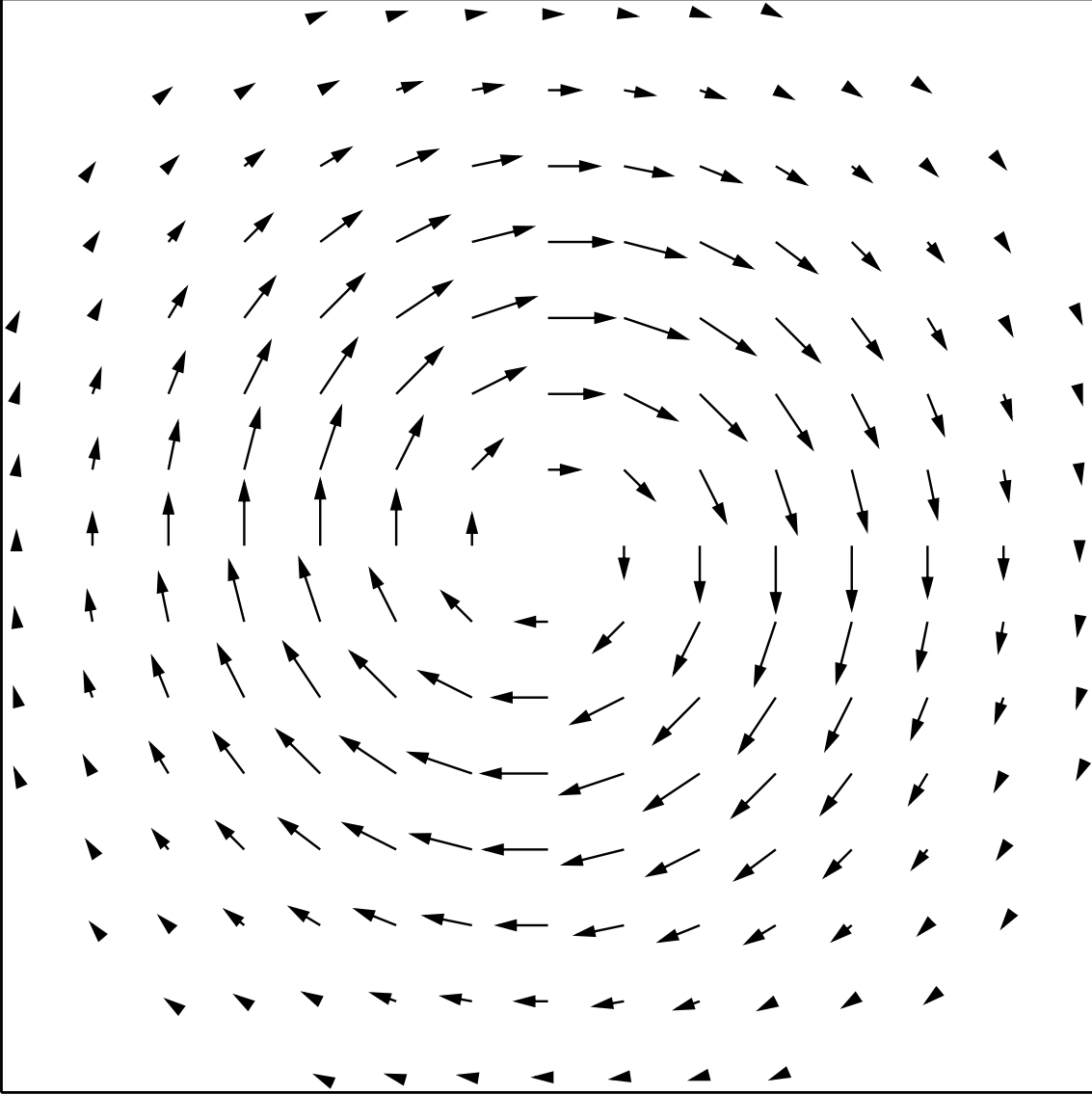
$$\kappa_T = \int dx \bar{E}_T(x, 0, 0) = \int dx d^2 \mathbf{b}_\perp \bar{\mathcal{E}}_T = -\sum_m \frac{M}{2} \int dx d^2 \mathbf{b}_\perp b^k \varepsilon^{ki} F_T^i \quad (2.6)$$

where  $\sum_m$  denotes a sum over polarizations. The last integral in Eq. (2.6) is zero for all terms in  $F_T^i$  that do not contain a factor of  $b^j$ . Comparing Eq. (2.6) with Eq. (2.5), and using Eq. (2.3), yields

$$\kappa_T = \frac{2M}{3\sqrt{2}\pi} \int_0^{R_0} dr r^3 fg, \quad (2.7)$$

The right hand side of (2.7) is always positive because  $f$  and  $g$  are non-negative functions for  $r$  less than the bag radius  $R_0$ , implying that  $\bar{\mathcal{E}}_T \geq 0$ .

In the bag model, the correlation between quark spin and quark orbital motion is the same, regardless of the orientation of  $j_z$ . All quark spin orientations thus contribute coherently to  $\bar{\mathcal{E}}_T^q$  and in the case of  $d$  quarks,  $\bar{\mathcal{E}}_T^d$  is equal to  $\bar{\mathcal{E}}_T^d$  for a single quark, while for  $u$  quarks it is twice as large. In fact, for any model where the quarks are confined by some mean field potential one finds that all quark orbits give the same contribution to  $\bar{\mathcal{E}}_T^q$  and thus  $\bar{\mathcal{E}}_T^q$  is equal to  $\bar{\mathcal{E}}_T^q$  for a single quark orbit, multiplied by the number of quarks of flavor  $q$ . In particular, in the large  $N_C$  limit, where  $N_u = N_d + 1 \rightarrow \infty$ , the lowest  $x$  moment of  $\bar{\mathcal{E}}_T^q$  is the same for  $u$  and  $d$  quark and both are of order  $\mathcal{O}(N_C)$ . Since the support of GPDs shrinks to  $x = \mathcal{O}(1/N_C)$ , this implies that  $\bar{E}_T^u(x, \xi, t) = \bar{E}_T^d(x, \xi, t) = \mathcal{O}(N_C^2)$ .



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**Figure 1:** Lowest moment of the impact parameter dependent transversity distribution for an unpolarized target in the MIT bag model. The ‘outside’ of the spherical bag corresponds to the regions without arrows.

In order to visualise the transverse spin - impact parameter correlation in the bag model, the vector field

$$-\int dx^3 f g \epsilon^{ij} \hat{b}^j \quad (2.8)$$

representing the lowest moment of the transversity density in an unpolarized target has been plotted in Fig. 1 for bag model wave functions  $f = j_0(r)$ , and  $g = j_1(r)$ .

In the bag model, we thus obtain a counter-clockwise polarization for impact parameter dependent quark distributions, which implies a negative Boer-Mulders function.

This result holds in potential models more general than the bag model, which has a scalar potential with the shape of an infinite square well, and a vanishing vector potential. In the bag

model, the upper and lower components of the Dirac equation,  $\phi_u$  and  $\phi_l$ , satisfy

$$\phi_l = \frac{1}{E+m} \vec{\sigma} \cdot \vec{p} \phi_u. \quad (2.9)$$

In the case of a general scalar potential, where the mass term  $m(r)$  depends on the radius, and a general vector potential  $V(r)$ , this relationship becomes

$$\phi_l = \frac{1}{E+m(r)-V(r)} \vec{\sigma} \cdot \vec{p} \phi_u. \quad (2.10)$$

In order to avoid the Klein paradox [5],  $V(r)$  cannot exceed  $m(r)$ , and so the denominator of Eq. (2.10) is positive. Therefore, the results for the sign of the Boer-Mulders function are the same as in the bag model. In fact, the sign of the spin-orbit correlation described by Eq. (2.5) should be the same for the ground state of all confining potential models.

The Boer-Mulders function has been calculated directly in the Diquark model in [6, 7, 8, 9, 10, 11], and  $\bar{E}_T$  has been directly calculated in the constituent quark model in [12]. Both calculations produce the same sign for the Boer-Mulders function as the Bag model. While these models involve interactions, they are contact interactions and the quarks mostly obey the free Dirac equation that is responsible for the results from the Bag model.

Finally, the Bag model results also agree with the sign found on the lattice [13].

### 3. $\bar{E}_T$ in the Pion

For the pion, the distribution of quarks with spin  $s^i$  in impact parameter space reads

$$\frac{1}{2} [F + s^i F_T^i] = \mathcal{H}(x, \mathbf{b}^2) + s^i \varepsilon^{ij} b^j \frac{2}{m} \frac{\partial}{\partial \mathbf{b}^2} \bar{\mathcal{E}}_T(x, \mathbf{b}^2), \quad (3.1)$$

where  $\mathcal{H}(x, \mathbf{b}^2)$  and  $\bar{\mathcal{E}}_T(x, \mathbf{b}^2)$  are again the Fourier transforms of the GPDs  $H(x, 0, t)$  and  $\bar{E}_T(x, 0, t)$  respectively. The definitions of  $H(x, 0, t)$  and  $\bar{E}_T(x, 0, t)$  whose definition are particularly simple,

$$\begin{aligned} \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle \pi' | \bar{q}(-\frac{1}{2}z) \gamma^+ q(\frac{1}{2}z) | \pi \rangle |_{z^+=0, z=0} &= H(x, \xi, t) \\ \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle \pi' | \bar{q}(-\frac{1}{2}z) \sigma^{+j} \gamma_5 q(\frac{1}{2}z) | \pi \rangle |_{z^+=0, z=0} &= \frac{1}{\Lambda} \bar{E}_T(x, \xi, t) \frac{\varepsilon^{+j\alpha\beta} \Delta_\alpha P_\beta}{P^+}. \end{aligned} \quad (3.2)$$

Here  $\Lambda$  is some hadronic mass scale, which needs to be included in the definition if  $\bar{E}_T(x, \xi, t)$  is to be dimensionless.

Except for a slight change in the bag radius, the quark wave functions in the bag model are the same for pions and nucleons. Therefore, apart from a slight rescaling due to the different bag radii,  $\bar{E}_T^u$  in a  $\pi^+$  is the same as  $\frac{1}{2} \bar{E}_T^u$  or  $\bar{E}_T^d$  in the proton. The factor  $\frac{1}{2}$  accounts for the fact that there are twice as many  $u$  quarks in a proton as in a  $\pi^+$ . Most importantly, we find again the same sign for  $\bar{E}_T$  as in the nucleon.

The Nambu-Jona-Lasino (NJL) model of the pion produces the same sign for the Boer-Mulders function as the Bag model [14, 15]. As in the case of the Diquark and constituent quarks models of the nucleon, the quarks in the NJL model are mostly free apart from contact interactions. It is the relationship between the upper and lower components of a free Dirac spinor that produce the sign of the Boer-Mulders function.

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